

Using the Microwave Polarization Data to Evaluate Ice Crystal Properties Simulations

Xiping Zeng^{1,2}, Gail Skofronick-Jackson², Lin Tian^{2,3}, Amber E. Emory², Williams S. Olson^{2,4}, Rachael A. Kroodsma^{5,6}

¹ Atmospheric Modeling Branch, US Army Research Laboratory, Adelphi, Maryland 20783

² Mesoscale Atmospheric Processes Laboratory, NASA Goddard Space Flight Center, Greenbelt, Maryland 20771

³ Goddard Earth Sciences Technology and Research, Morgan State University, Baltimore, Maryland 21251

⁴ Joint Center for Earth Systems Technology, Maryland of University, Baltimore County, Baltimore, Maryland 21250

⁵ Earth System Science Interdisciplinary Center, University of Maryland, College Park, Maryland 20742

⁶ Precipitation Processing System, NASA Goddard Space Flight Center, Greenbelt, Maryland 20771

Submitted to *the Journal of the Atmospheric Sciences*

August 29, 2017

Corresponding author address: Dr. Xiping Zeng, RDRL-CIE-M, U.S. Army Research Laboratory, Adelphi, MD 20783. Email: xiping.zeng.civ@mail.mil.

29

Abstract

30 Two NASA microwave radiometers, the satellite-borne GPM (Global Precipitation
31 Measurement) Microwave Imager (GMI) and the aircraft-borne CoSMIR (the Conical
32 Scanning Millimeter-wave Imaging Radiometer), measure vertically- and horizontally-
33 polarized microwaves emitted by cloud particles and the Earth below, providing unique
34 information on ice crystal properties in clouds. Their data reveal that non-spherical ice
35 crystals are common and they fall in a preferred horizontally aligned orientation in
36 convective and optically thick clouds especially near cloud top.

37 A bin (particle-size-resolving) microphysical model with an ice crystal shape
38 representation is developed to simulate the evolution of ice crystal properties (i.e., size,
39 shape and orientation), where the radiation effect on microphysics (REM) is taken into
40 account. Since REM represents the effect of all (e.g., both infrared and solar) radiation on
41 ice crystal temperature, it relies upon the ice crystal properties that determine how an ice
42 crystal receives radiation. Definitely, REM is different from the radiative effects that
43 cause sensitivity at the microwave frequencies in the GPM and CoSMIR observations.

44 Model results show that horizontally-oriented ice crystals grow faster than vertically-
45 oriented (or spherical) ones due to REM. When both horizontally- and vertically-oriented
46 ice crystals coexist in an air parcel, the model results show that the former grow by vapor
47 deposition whereas the latter shrink by sublimation and disappear eventually. These
48 modeling results are supported by the GMI data and the CoSMIR observations from
49 MC3E (Midlatitude Continental Convective Clouds Experiment) and OLYMPEX
50 (Olympic Mountains Experiment) on the prevalence of horizontally-oriented ice crystals.
51 Moreover, the REM-induced precipitation explains the CloudSat observations of rare thin

52 clouds in the tropical mid-troposphere as well as the common diamond dust in the high
53 latitudes.

54

55 **1. Introduction**

56 Ice clouds in the upper troposphere play a crucial role in the Earth's radiation balance
57 due to their widespread and long lifetime (e.g., Webster and Stephens 1980, Hartmann et
58 al. 1984, Harrison et al. 1993, Zeng et al. 2009). However, they are not represented well
59 in current weather and climate models (e.g., Zhang et al. 2005, Klein et al. 2009, Jiang et
60 al. 2012). Field campaign and laboratory observations show that the ice crystals growing
61 from the vapor phase are usually non-spherical (Pruppacher and Klett 1997). Since ice
62 crystal shape is important in radiation computation (e.g., Liu et al. 1998, Yang et al.
63 2005), how to better model and observe ice crystal properties (i.e., size, shape and
64 orientation) is imperative to parameterize non-spherical ice crystals in climate models.

65 Since aircraft penetration observations of ice crystals are expensive and limited over
66 few geographic regions, the remote sensing of ice crystal shape is becoming important
67 (e.g., Sassen 1974, Intrieri et al. 2002, Noel and Chepfer 2010, Neely et al. 2013,
68 Skofronick-Jackson et al. 2015). In 2014, the GPM (Global Precipitation Measurement)
69 satellite was launched (Hou et al. 2014, Skofronick-Jackson et al. 2015), where GMI (the
70 GPM Microwave Imager) measures the intensity of radiation (via brightness temperature)
71 at high frequencies (e.g., 166 and 183 GHz) that were added to GMI specifically for
72 observing ice, snow and graupel properties. GMI's microwave polarization data (e.g.,
73 166V, 166H) can be used to analyze the distribution of ice crystal shape (Skofronick-
74 Jackson et al. 2008, Emory et al. 2013, Gong and Wu 2017). To support the analysis of
75 satellite data, CoSMIR (the Conical Scanning Millimeter-wave Imaging Radiometer) that
76 functions similarly as GMI was flown on the NASA ER-2 and DC-8 aircrafts during the
77 Midlatitude Continental Convective Clouds Experiment (MC3E) and Olympic Mountains

78 Experiment (OLYMPEX) for clouds and precipitation observations (Jensen et al. 2016,
79 Houze Jr. et al. 2017). In this study, the polarization data of GMI and CoSMIR are
80 analyzed for the distribution of ice crystal properties. To better understand the GMI and
81 CoSMIR data on ice crystal properties, a bin microphysical model that explicitly
82 considers crystal shape and the radiation effect on microphysics (REM) (e.g., Zeng 2008)
83 is developed to replicate the evolution of ice crystals in shape and size, where REM is
84 different from the radiative effects that cause sensitivity at the microwave frequencies in
85 the GPM and CoSMIR observations.

86 The study was introduced in the 2016 PMM (Precipitation Measurement Mission)
87 meeting briefly (Zeng et al. 2016) and completely herein by five sections. In Sec. 2, REM
88 is incorporated into a numerical model for ice crystal shape simulations. In Sec. 3,
89 numerical simulations are carried out to show how REM impacts ice crystal size and
90 shape. In Sec. 4, model results are compared with GMI and CoSMIR data for model
91 evaluation. Sec. 5 gives a summary. Except for special illustrations, all symbols are
92 defined in the Appendix.

93 **2. Representing the radiation effect on microphysics (REM)**

94 *a. The REM processes*

95 REM consists of a series of processes. That is, radiation impacts the surface
96 temperature of cloud particles that in turn affects the saturation water vapor pressure
97 around the particles and eventually alters the diffusional growth rate of the particles (e.g.,
98 Hall and Pruppacher 1976, Roach 1976, Stephens 1983, Wu et al. 2000, Zeng 2008).
99 REM exists in two common weather phenomena: dew and frost in unsaturated air (e.g.,
100 Koeppen and De Long 1958). It also exists in ice clouds as a precipitation mechanism

101 (Zeng 2008). This section shows how to incorporate REM into a bin model for ice crystal
102 shape simulations, beginning with REM for a single ice crystal.

103 For a single ice crystal of mass m , its microscopic radiative ratio η is defined as the
104 ratio of the infrared flux incident on ice crystal surface to the radiative flux emitted by the
105 ice crystal as a blackbody at its environmental air temperature (Zeng 2008). Hence, η
106 *approximately represents the ratio of the inward radiative flux to the outward one at*
107 *crystal surface*. When $\eta = 1$, the ice crystal grows just as predicted by the classic theory
108 of cloud physics without REM (Fuchs 1959, Rogers and Yau 1989).

109 If the atmosphere were a black body, $\eta \approx 1$. In fact, the atmosphere has quasi-
110 transparent regions within the radiative spectrum (or atmospheric windows) and thus is
111 not a blackbody (e.g., Stephens 1983). Hence, η usually deviates from one. As a result,
112 radiation alters the ice crystal temperature and subsequently the saturation water vapor
113 pressure around the crystal. An analytical expression of the ice crystal growth rate is
114 derived as a function of η (Zeng 2008).

115 Figure 1 illustrates the saturation water vapor pressure E_i against ice crystal size when
116 $\eta > 1$ and $\eta < 1$, respectively. The dependence of E_i (or ice crystal temperature) on
117 crystal size originates in the different sensitivities of the radiative and conductive energy
118 fluxes at crystal surface to ice crystal size. For a spherical ice crystal of radius r , for
119 example, the radiative fluxes at crystal surface are directly proportional to r^2 (or crystal
120 surface area), whereas the conductive energy flux is proportional to r (or crystal size)
121 only.

122 When $\eta < 1$, E_i decreases with increasing crystal size. Hence, an ice crystal can grow
123 in an unsaturated environment, reaching a size of millimeters (see Sec. 3a for modeling).

124 This growth mechanism resembles the formation of a dew-drop (or frost) in an
125 unsaturated environment if the dew-drop and its supporter (e.g., wide grass leaf) are
126 treated together for a heat balance.

127 Consider REM for two adjacent ice crystals shown in Fig. 1. When $\eta > 1$ (red lines),
128 the larger crystal has a higher temperature and subsequently larger saturation water vapor
129 pressure around it. As a result, the larger crystal sublimates with the resulting water vapor
130 being deposited onto the smaller crystal. In contrast, when $\eta < 1$ (green lines), the smaller
131 crystal has a higher temperature and subsequently larger saturation water vapor pressure
132 around it. Therefore, the smaller crystal sublimates with the resulting water vapor being
133 deposited onto the larger particle, which serves as a precipitation mechanism (Zeng
134 2008).

135 Consider multiple ice crystals that coexist in an air parcel. They compete for water
136 vapor available and REM alters their competition for water vapor. As a result, REM
137 brings about a nonlinear evolution of ice crystal spectrum. Zeng (2008) developed a bin
138 (or particle-size-resolving) model to simulate how REM impacts ice crystal size for a
139 given ice crystal shape. In this paper, the model is extended to simulate how REM
140 impacts both size and shapes of ice crystals.

141 *b. Representing ice crystal shapes*

142 Consider an air parcel with ice crystals that moves with vertical velocity w . Its
143 tendency of the relative humidity with respect to ice H_i , based on the conservation of
144 energy and water, is derived as (Rogers and Yau 1989, Zeng 2008)

145
$$\frac{dH_i}{dt} = Q_1(T)w - Q_2(T) \sum_{j,k} \frac{dm_{jk}}{dt} \quad (1)$$

146 where T is air temperature, m_{jk} ($j=1, 2, \dots$) denotes the mass of differently sized ice
 147 crystals for a given crystal shape k , and

$$148 \quad Q_1(T) = \frac{1}{T} \left[\frac{L_s g}{R_v C_p T} - \frac{g}{R_d} \right] \quad (2)$$

$$149 \quad Q_2(T) = \rho_a \left[\frac{R_v T}{E_i(T)} + \frac{R_d L_s^2}{p R_v C_p T} \right]. \quad (3)$$

150 All ice crystals are classified into five shapes (or $k=1, \dots, 5$): (1) a sphere of radius r
 151 and surface area $S = 4\pi r^2$ for graupel, (2) a prolate spheroid of semi-major and minor axis
 152 lengths a and b for column-like crystals, whose surface area is

$$153 \quad S = 2\pi b \left(b + \frac{a^2}{\sqrt{a^2 - b^2}} \arcsin \frac{\sqrt{a^2 - b^2}}{a} \right), \quad (4)$$

154 (3) an oblate spheroid of semi-major and minor axis lengths a and b for plate-like
 155 crystals, for which

$$156 \quad S = 2\pi a \left(a + \frac{b^2}{\sqrt{a^2 - b^2}} \ln \frac{\sqrt{a^2 - b^2} + a}{b} \right), \quad (5)$$

157 (4) a prolate spheroid for bullet-shaped crystals, and (5) a sphere for rosette crystals that
 158 consist of bullets. All of the crystal shapes have their own formulas of ice crystal mass,
 159 terminal velocity, dimensional relationship and ventilation factors against crystal size.
 160 The formulas are empirically obtained from field observations (e.g., Auer and Veal 1970;
 161 Heymsfield 1972; Heymsfield and Iaquinta 2000; see Zeng 2008 for computational
 162 details).

163 *c. Computing η*

164 The growth rate of an ice crystal, or dm/dt in Eq. (1), is derived as a function of η
 165 (Zeng 2008), which is rewritten, after some algebraic operations, as

$$166 \quad \frac{dm}{dt} = \frac{4\pi C(H_i - 1)}{H_{ic}A + B} + \frac{S\epsilon_0\sigma T^3}{KF_\alpha f_Q(H_{ic}A + B)} \left(\frac{L_s}{R_v T} - 1 \right) \left(1 - \epsilon_r \eta - \frac{F_s}{\epsilon_0 \sigma T^4} \right) \quad (6)$$

167 where σ is the Stefan-Boltzmann constant, C the stationary diffusion shape factor that
 168 accounts for the influence of crystal shape on the water vapor field around a crystal (for a
 169 spherical crystal of radius r , $C = r$),

$$170 \quad A = \frac{L_s}{F_\alpha f_Q K T} \left(\frac{L_s}{R_v T} - 1 \right) \quad (7)$$

$$171 \quad B = \frac{R_v T}{F_\beta f_m D E_i(T)} \quad (8)$$

172 and

$$173 \quad H_{ic} = 1 - \left(\frac{L_s}{R_v T} - 1 \right) \left(1 - \epsilon_r \eta - \frac{F_s}{\epsilon_0 \sigma T^4} \right) \frac{S\epsilon_0\sigma T^3}{4\pi C K F_\alpha f_Q} \quad (9)$$

174 (see the Appendix for the other symbol definitions).

175 The ratio η in (6) is computed as follows. Let F^+ and F^- represent the upward and
 176 downward fluxes of infrared radiation in the atmosphere, respectively, and define the
 177 macroscopic radiative ratio of an air parcel as (Zeng 2008)

$$178 \quad \eta_z = \frac{F^+ + F^-}{2\sigma T^4}. \quad (10)$$

179 Once atmospheric variables are given, η_z is obtained easily by using a two-stream
 180 radiation package to calculate F^+ and F^- (e.g., Fu and Liou 1992, Chou *et al.* 1995).

181 The ratio η_z is used to determine η , which is illustrated with Fig. 2. Consider an ice
 182 crystal that receives the upward radiation (F^+), downward radiation (F^-) and horizontal
 183 radiation ($\approx \sigma T^4$), where σT^4 represents the blackbody irradiance at air temperature T .

184 Since the atmosphere is not a blackbody, the upward infrared flux F^+ and the downward
 185 one F^- usually deviate from the horizontal one (or σT^4). Their contribution to an ice
 186 crystal is approximated with (F^++F^-) times the maximum horizontal cross section area of
 187 the crystal, which depends on the shape and orientation of the crystal.

188 A horizontally-oriented plate-like ice crystal is discussed first. It is mimicked by an
 189 oblate spheroid of semi-major and minor axis lengths a and b . Since its maximum
 190 horizontal cross section area is πa^2 , the spheroid receives the upward and downward
 191 infrared fluxes $\pi a^2 F^+$ and $\pi a^2 F^-$, respectively, whereas the rest surface area of the crystal
 192 (or $S-2\pi a^2$) receives the horizontal infrared flux σT^4 from the crystal environment. Hence,
 193 the total radiative flux received equals $\sigma T^4(S-2\pi a^2) + \pi a^2(F^++F^-)$. Substituting (10) into
 194 the total radiative flux to eliminate F^++F^- and then dividing the resulting expression with
 195 $\sigma T^4 S$ yields the expression of η . That is,

$$196 \quad \eta - 1 = \frac{2\pi a^2}{S} (\eta_z - 1), \quad (11a)$$

197 where S is calculated with Eq. (5). When $b \ll a$, $\eta \approx \eta_z$.

198 A vertically-oriented plate-like crystal is still mimicked with an oblate spheroid of
 199 semi-major and minor axis lengths a and b , but its maximum horizontal cross section area
 200 becomes $\pi a b$. Following the same procedure to (11a), η for a vertically-oriented plate-
 201 like ice crystal is obtained, i.e.

$$202 \quad \eta - 1 = \frac{2\pi a b}{S} (\eta_z - 1). \quad (11b)$$

203 Consider a bullet-shaped crystal similarly. A horizontally (or vertically) oriented
 204 bullet-shaped crystal is mimicked by a prolate spheroid of semi-major and minor axis
 205 lengths a and b that has a maximum horizontal cross section area of $\pi a b$ (or πb^2). Thus,

206
$$\eta - 1 = \frac{2\pi ab}{S}(\eta_z - 1) \quad (12a)$$

207
$$\eta - 1 = \frac{2\pi b^2}{S}(\eta_z - 1) \quad (12b)$$

208 are obtained for horizontally- and vertically-oriented bullet-shape crystals, respectively,
209 where S is from Eq. (4).

210 Furthermore, the connection of η_z to η for a spherical ice crystal is obtained similarly
211 as

212
$$\eta - 1 = \frac{1}{2}(\eta_z - 1). \quad (13)$$

213 Equations (11)-(13) can be summarized into a unifying expression or

214
$$\eta - 1 = \alpha(\eta_z - 1), \quad (14)$$

215 where the coefficient α varies with crystal size, shape and orientation and falls between
216 zero and one. For a vertically oriented ice crystal with extremely long axis ($b \ll a$), $\alpha = 0$
217 and thus $\eta = 1$. For a spherical crystal, $\alpha = 0.5$. For a horizontally oriented crystal with
218 extremely long axis ($b \ll a$), $\alpha = 1$ and thus $\eta = \eta_z$.

219 Although η_z and η are connected via Eq. (14), they have different applications. Since
220 the macroscopic ratio η_z is independent of crystal properties, it can be treated as a
221 property (i.e., the asymmetry between horizontal and vertical irradiances) of an air parcel
222 and therefore can be used as a variable in cloud or climate models. In contrast, the
223 microscopic ratio η varies with ice crystal size, shape and orientation, and thus is suitable
224 to discuss the growth rate of individual ice crystals (e.g., Fig. 1). Since $(\eta_z - 1)$ has the
225 same sign as $(\eta - 1)$, η_z usually takes the place of η in discussing REM except for
226 specification.

227 **3. Numerical simulations of ice crystal properties**

228 The parcel model in Sec. 2b is used to simulate how REM impacts the ice crystals in
229 an air parcel of $\eta_z = 0.5$, where $\eta_z = 0.5$ corresponds to a cloud position with $F \approx 0$ (or
230 near cloud top) approximately. In the present paper, the effect of solar radiation on
231 crystals (e.g., Stephens 1983, Zeng 2008) is not discussed explicitly (or $F_s=0$ is set).
232 Instead, it can be discussed implicitly by tuning the magnitude of η_z to take account of F_s .
233 Since there is a net radiative cooling (or net $\eta_z < 1$) near cloud top even in daytime (e.g.,
234 Liou 1980) and the GMI observations at 166 GHz showed that the solar effect is about
235 one third of the infrared one (Gong et al. 2017), the present simulations with $\eta_z < 1$ are
236 carried out to understand REM qualitatively.

237 Six numerical experiments are carried out to test the sensitivity of ice crystal
238 properties to REM (see Table 1 for the experiment summary). Except for specification,
239 all of the experiments are set up as follows. All model variables are represented in double
240 precision. Their prognostic equations are integrated with 2048 bins, using a time step of
241 0.2 s with a second-order accuracy. The initial relative humidity with respect to ice is set
242 to 100%. The air temperature $T = -30^\circ\text{C}$, pressure $p = 300 \text{ hPa}$, and $\eta_z = 0.5$. In addition,
243 the vertical velocity $w = 0$.

244 *a. Contribution of REM to snow formation*

245 Diamond dust (or clear-sky precipitation) is common in the arctic regions especially in
246 winter (e.g., Liu et al. 2012, Bennartz et al. 2013, Shupe et al. 2013). It involves neither
247 riming nor aggregation. Since it is “simple” and $\eta_z < 1$ in the arctic regions (see Sec. 4a),
248 it is simulated here using the bin model to show how REM impacts the shape and
249 orientation of ice crystals.

250 The default experiment Ph addresses the evolution of horizontally-oriented plate
251 crystals. Its initial ice crystal spectrum, shown by the thick line in Fig. 3, has an ice
252 crystal concentration of 0.5 cm^{-3} and an ice water content (IWC) of $3.6 \times 10^{-4} \text{ g m}^{-3}$. If $\eta_z =$
253 1, the ice crystals would maintain their initial spectrum without snow formation.
254 However, if $\eta_z = 0.5$, the situation changes. Figure 3 displays the modeled broadening of
255 ice crystal spectrum with $\eta_z = 0.5$, showing that precipitating particles form in a few
256 hours. The spectral discontinuity near $200 \mu\text{m}$ coincides with the emergence of dendritic
257 extensions at that size.

258 The average (half) crystal size for a given shape k is defined as

$$259 \quad \bar{a}_k = \sum_j aM_{jk} / \sum_j M_{jk},$$

260 where M_{jk} represents the mass of ice crystals in a bin of j and k . Figure 4 displays the
261 average crystal size, IWC and H_i against time in Ph, showing that the modeled process
262 resembles the frost formation. When the average crystal size increases with time, REM
263 leads to the decrease in the average crystal temperature and the saturation water vapor
264 pressure around the crystals. As a result, water vapor deposits on ice crystals and the
265 environmental relative humidity (or H_i) decreases with time.

266 An interesting phenomenon in Fig. 4 is the dramatic increase (or decrease) in ice
267 crystal size (or relative humidity) from hour 5.5 to 6.5. This dramatic change originates in
268 the crystal dimensional relationship as well as the ventilation factors for mass transfer
269 and thermal diffusion. That is, the ratio of crystal length to thickness and the ventilation
270 factors increase with ice crystal size (e.g., Auer and Veal 1970; Pruppacher and Klett
271 1997), which brings about the dramatic increase of ice crystal size after the ice crystal

272 size is longer than 1 mm. The dramatic change explains the formation of diamond dust in
273 the dry arctic regions (e.g., Shupe et al. 2013).

274 *b. Selection of ice crystal orientations*

275 Two experiments PhPv and ChCv are carried out to test the selection of ice crystal
276 orientations due to REM. The PhPv simulates plate crystals with two orientations. It takes
277 the same setup as Ph except that half of the crystals are horizontally oriented and the
278 other half are vertically oriented. Figure 5 displays the modeled spectra of vertically- and
279 horizontally-oriented ice crystals. The horizontally-oriented ice crystals, just like those in
280 Ph, grow to form precipitating particles in a few hours. In contrast, the vertically-oriented
281 crystals shrink due to sublimation and disappear eventually (Fig. 5a), because the
282 vertically-oriented ones have higher temperature than the horizontally-oriented ones
283 when $\eta_c < 1$ (see Fig. 2).

284 The ChCv experiment simulates column crystals with two orientations. It takes the
285 same setup as PhPv except for column crystals. Its modeled spectra are displayed in Fig.
286 6, showing that horizontally-oriented crystals grow faster than vertically-oriented ones.
287 The comparison of Figs. 5 and 6 indicates that plate crystals grow faster than column
288 ones. In spite of their difference in growth rate, both experiments show that REM favors
289 horizontally-oriented ice crystals, which explains the observed prevalence of
290 horizontally-oriented ice crystals (e.g., Neely et al. 2013, Shupe et al. 2013; see Sec. 4c
291 for a simulation evaluation).

292 *c. Selection of ice crystal shapes*

293 Ice crystal habit (or shape) varies with temperature and supersaturation (e.g., Magono
294 and Lee 1966, Murray et al. 2015, see Pruppacher and Klett 1997 for review). Since

295 REM impacts crystal temperature and supersaturation via crystal size and shape, REM
296 can affect ice crystal shape by selecting fast-growing crystals to survive. In this
297 subsection, two experiments PhS and PhCh are carried out to test how REM selects
298 crystal shapes.

299 Experiment PhS simulates spherical solid ice crystals (or graupels if they fall into the
300 parcel) mixed with horizontally-oriented plate ones. It takes the same setup as PhPv
301 except that spherical crystals take the place of the vertically-oriented plate ones. Just like
302 those in PhPv (or Fig. 5), the horizontally-oriented plate crystals grow to form
303 precipitation while the spherical ones disappear. Figure 7 displays the average radius of
304 spherical ice crystals against time. The average radius increases with time first and then
305 decreases dramatically after the plate crystals reach a size of $\sim 200 \mu\text{m}$ with the
306 emergence of dendritic extensions. These results indicate that horizontally-oriented plate
307 crystals are selected to survive by REM when they coexist with spherical ones in an air
308 parcel, which partly explains that non-spherical ice crystals are common in cirrus clouds.

309 Experiment PhCh simulates plate crystals mixed with column ones by the same
310 number concentration, where all of the ice crystals are horizontally oriented. The
311 experiment is set up as PhPv except that the horizontally-oriented column crystals take
312 the place of the vertically-oriented plate ones. Just like those in PhPv, the horizontally-
313 oriented plate crystals instead of column ones are selected to survive by REM, because
314 plate crystals grow faster than column ones (figure omitted).

315 *d. Effect of vertical velocity*

316 Vertical velocity impacts parcel relative humidity that in turn affects ice crystal
317 growth. In this section, Exp. PhPvW is carried out to test whether vertical velocity alters

318 the selection of crystal orientations. The experiment is set up as PhPv except for a vertical
319 velocity of $w = 1 \text{ cm s}^{-1}$. Figure 8 displays the modeled evolution of the horizontally-
320 oriented plate crystals, and Fig. 9 displays the average crystal sizes of horizontally- and
321 vertically-oriented crystals. The horizontally-oriented ice crystals, just like those in PhPv,
322 grow to form precipitation in a few hours whereas the vertically-oriented ones disappear
323 in 1.5 hour, showing that vertical velocity does not alter the selection of crystal
324 orientations.

325 Figure 9 also displays the time series of IWC and crystal size in both PhPvW and
326 PhPv, showing that vertical velocity increases IWC significantly. Interestingly, the
327 average sizes of ice crystals in PhPvW and PhPv are close to each other, which exhibits
328 the insensitivity of ice crystal spectrum broadening to vertical velocity. These different
329 sensitivities of IWC and crystal size to vertical velocity suggest that REM, instead of
330 vertical velocity, is the major contributor to the crystal spectrum broadening. The
331 sensitivities also suggest that REM can be used to overcome the modeling problem of too
332 narrow crystal spectrum that exists in the current cirrus models (Starr and Quante 2002).

333 **4. Comparison to GMI and CoSMIR data**

334 Since horizontally-oriented ice crystals are favored in an environment of $\eta_z < 1$ (see
335 Table 1 for the experiment summary), their geographical distribution depends on the
336 distribution of $\eta_z < 1$. In this section, the geographical distribution of η_z is analyzed first
337 and then compared to that of GMI and CoSMIR 166V and 166H brightness temperature
338 differences as an evaluation of ice crystal model simulations.

339 *a. Meridional variation of η_z*

340 The radiative ratio η_z varies greatly with atmospheric temperature, water vapor,
341 sea/land surface temperature and clouds. However, its distribution for clear sky is
342 relatively simple and thus provides a first-order approximation to understand thin cirrus
343 clouds (including diamond dust)¹.

344 The ratio η_z in clear sky is computed, using Eq. (10) and the Goddard radiation
345 package with the data of mean atmospheric states in the low, middle and high latitudes
346 (Chou *et al.* 1995). Figure 10 displays the vertical profiles of η_z in winter and summer in
347 the high latitudes, showing that η_z is less than one in both seasons. Moreover, η_z in winter
348 is much smaller than that in summer, because the underlying surface temperature in
349 winter is much lower than that in summer. For comparison, Fig. 11 displays the vertical
350 profiles of η_z in the Tropics and mid-latitudes, showing that $\eta_z < 1$ in the lower and
351 middle troposphere but $\eta_z > 1$ in the upper troposphere in the Tropics and middle
352 latitudes. Generally speaking, η_z decreases with increasing latitude, from $\eta_z > 1$ in the
353 upper troposphere in the Tropics to $\eta_z < 1$ in the Arctic. This meridional variation of η_z is
354 clear in physics, because the underlying surface temperature and subsequently the upward
355 radiative flux F^+ decrease with increasing latitude.

356 Furthermore, clouds change the vertical profile of η_z significantly. For thick clouds,
357 their lower part blocks the upwelling infrared radiation from the underlying surface and
358 consequently $\eta_z < 1$ near and above cloud top, no matter how high the underlying surface

¹ Suppose that there is only one ice crystal in clear sky. Although the crystal contributes little to the atmospheric radiation transfer, the atmospheric radiation impacts the crystal temperature effectively. Hence, the growth of the crystal can be understood with η_z in clear sky. Similarly, if a thin cloud contributes little to the atmospheric radiation transfer, its crystal growth can be understood approximately with η_z in clear sky.

359 temperature is (Zeng 2008). Since the model results show that horizontally-oriented ice
360 crystals are favored radiatively with $\eta_z < 1$, it is predicted that the frequency of
361 occurrence for horizontally-oriented ice crystals is high near the top of thick clouds and
362 the frequency in thin clouds increases with latitude. Such prediction on ice crystal
363 orientation is evaluated with observational data next.

364 *b. CoSMIR data*

365 Passive microwave (PMW) radiometers such as CoSMIR and GMI measure the
366 upwelling radiances emitted by the atmosphere, clouds, precipitation and the Earth below
367 (e.g., Skofronick-Jackson and Johnson 2011). Their data can be used to retrieve
368 hydrometeor particle information and rain rates (e.g., Kummerow 1993, Olson et al.
369 2001a, Liu 2004). Since ice crystals in the atmosphere scatter Earth's upwelling
370 microwave radiation especially at high frequencies (> 85 GHz), the amount of
371 microwaves scattered and thus received by PMW radiometers depend on ice crystals aloft
372 (Skofronick-Jackson et al. 2015). If the ice crystals are non-spherical (e.g., plate-like) and
373 oriented with respect to the PMW viewing angle, the microwaves received by PMW
374 radiometers become polarized (e.g., Roberti and Kummerow 1999, Adams et al. 2008).
375 Hence, the difference in radiance between horizontally- and vertically-polarized
376 channels, especially at high frequencies (e.g., 166 GHz), should be able to measure the
377 general orientation of ice crystals. Generally speaking, when the GMI brightness
378 temperature (T_b) at 166 GHz for vertically polarized microwaves is higher than that for
379 horizontally polarized microwaves, there are horizontally-oriented ice crystals aloft (e.g.,
380 Roberti and Kummerow 1999, Adams et al. 2008, Defer et al. 2014).

381 CoSMIR and GMI are both PMW radiometers with high frequencies at 166 GHz and
382 operating with conical scans (thus at an approximate 53.6 degree viewing angle to the
383 hydrometeors in the cloud) (Wang et al. 2007, 2008; Draper et al. 2015). The CoSMIR is
384 loaded on the NASA ER-2 aircraft to observe clouds downwards with a footprint of
385 $2.5 \times 4.3 \text{ km}^2$. Its data from MC3E are analyzed next, providing an analysis procedure for
386 GMI data.

387 MC3E was a field campaign in south-central Oklahoma, conducted during April
388 through June 2011 and jointly led by the NASA GPM Mission and the U.S. Department
389 of Energy's ARM (Atmospheric Radiation Measurement) program (Jensen et al. 2016).
390 In the campaign, CoSMIR and several radars [including the HIWRAP (high-altitude
391 imaging wind and rain airborne profile) radar] were in the high-altitude ER-2 aircraft to
392 measure upwelling microwaves and hydrometeors (Heymsfield et al. 2013).

393 CoSMIR data from MC3E are analyzed here to show the ice crystal orientation in a
394 mesoscale convective system (MCS). Figure 12 displays the horizontal cross-section of
395 radar reflectivities at 10 cm wavelength (or 3 GHz frequency) at an altitude of 3 km at
396 2234 UTC May 23 from the ground-based NPOL (NASA S-Band Dual-Polarimetric
397 Radar) located at 36.5N and 97.2W. The figure also displays the ER-2 flight track from
398 2223 to 2241 UTC, 23 May 2011. This flight period is chosen for analysis because its
399 observations cover both deep convection and anvil clouds.

400 The HIWRAP radar and CoSMIR observations in the flight period are analyzed.
401 Figure 13 displays the vertical cross section of Ku-band (2.8 cm wavelength) reflectivity
402 (dBZ) from the HIWRAP radar that scans downwards, showing that there is deep
403 convection, stratiform clouds and anvil clouds during the flight period. The figure also

404 displays the difference in the brightness temperature T_b at 165.5 GHz between vertical
405 and horizontal polarization (referred to here as polarization difference). Since CoSMIR
406 scans at an off-nadir-angle of 53.6 degrees, a distance shift of 5.5 km is applied to the
407 CoSMIR data so that the CoSMIR data in the figure correspond to the radar data below.

408 Figure 13 shows that the brightness temperature T_b at 165.5 GHz for vertical
409 polarization is much higher than that for horizontal polarizations over stratiform clouds
410 and anvil clouds, whereas T_b for vertical polarization is slightly higher than that for
411 horizontal one over deep convection (Heymsfield and Fulton 1994, Gong and Wu 2017).
412 Such difference in T_b suggests that a large fraction of ice crystals in non-convective
413 regions are horizontally oriented but only a small fraction of ones in deep convection are
414 horizontally oriented. Indeed, in deep convection particles are experiencing updrafts that
415 (1) cause them to rotate almost randomly with no preferred orientation via turbulence and
416 (2) detrain them near cloud top so that REM has insufficient time to select horizontally-
417 oriented crystals.

418 *c. Comparison of CoSMIR and GMI data*

419 The satellite-borne GMI functions similarly as the aircraft-borne CoSMIR. Its
420 footprint at 166 GHz is approximately $4.1 \times 6.3 \text{ km}^2$ that is larger than that of CoSMIR
421 (i.e., $2.5 \times 4.3 \text{ km}^2$ in MC3E and $1.3 \times 1.9 \text{ km}^2$ in OLYMPEX)². In this subsection, the GMI
422 and CoSMIR data are compared over the OLYMPEX region, showing that GMI and
423 CoSMIR measured the polarization difference consistently.

² The footprint of CoSMIR depends on not only the CoSMIR off-nadir angle but also the height of CoSMIR (or aircraft). To better match the GMI off-nadir angle, CoSMIR on the DC-8 aircraft took an off-nadir angle of 49 degrees in OLYMPEX, bringing about a footprint of $1.3 \times 1.9 \text{ km}^2$ for the conical scan.

424 OLYMPEX is a field campaign to observe precipitation over the Olympic Mountains
425 near Seattle from November 2015 through February 2016 (Houze Jr. et al. 2017).
426 Different from MC3E, OLYMPEX addressed cloud systems that were mostly stratiform
427 and also emphasized GPM core overpasses, providing unique data to compare the
428 observations of GMI and CoSMIR.

429 In the campaign, CoSMIR was loaded on the NASA DC-8 aircraft to measure
430 upwelling microwaves with nine channels, two of which are at 165.5 GHz with both
431 vertical and horizontal polarization. The two cloud systems observed on December 3 and
432 5 are selected for analysis when the GPM core satellite (with GMI) and DC-8 (with
433 CoSMIR) flew over the campaign region at the same time. Specifically, CoSMIR
434 scanned from 14:33 to 16:59 UTC 3 December, between 46.49 and 49.59°N and between
435 121.89 and 126.26°W while GMI flew over the region at 15:22 UTC 3 December; and
436 from 14:18 to 15:38 UTC 5 December, between 46.45 and 48.61°N and between 122.4
437 and 125.65°W while GMI flew over the region at 15:13 UTC 5 December 2015.

438 The data from CoSMIR and GMI over the CoSMIR scan regions are displayed in Fig.
439 14 for comparison, showing that the polarization difference over stratiform clouds is
440 positive and becomes largest at horizontal polarization T_b of \sim 200K and smallest at
441 \sim 270K. Such positive polarization difference is consistent with that over the stratiform
442 clouds during MC3E.

443 Figure 14 also shows that the scattering of GMI polarization difference is narrower
444 than that of CoSMIR. Such difference between GMI and CoSMIR data is attributed to
445 their difference in footprint (or scan volume). In other words, the GMI data at 166 GHz
446 represent the average of T_b over a larger area than those of CoSMIR. Although the GMI

447 data are different from CoSMIR in scan volume, they still catch the same main
448 characteristics of polarization difference as the CoSMIR data and hence are used to
449 statistically analyze the polarization difference from GMI.

450 *d. Statistics of GMI data*

451 The statistical analysis of polarization difference is carried out using three-year data of
452 GMI and CloudSat data (Stephens et al. 2002), which resembles the MC3E analysis in
453 Sec. 4.b except that GMI and CloudSat replace CoSMIR and the HIWRAP radar,
454 respectively. A GPM data product of 2B.CSATGPM compiles the coincident data of
455 GPM and CloudSat while CloudSat flew over GPM data pixels. Its 3687 coincident
456 datasets, which spans from 8 March 2014 to 4 December 2016, are used for statistical
457 analysis.

458 One of the datasets is analyzed first as an example. Figure 15 displays the vertical
459 cross-section of CloudSat radar reflectivity and the GMI polarization difference ΔT_b
460 ($=166V-166H$) at 166 GHz along the same CloudSat track over the Amazon on 13
461 November 2014. The figure suggests that the polarization difference is positive over thick
462 clouds but close to zero over thin cirrus clouds in the Tropics. Note that the polarization
463 difference is close to 10K in stratiform cloud regions where it is expected that the ice
464 particles are not subject to the turbulence in convective regions and thus can remain in a
465 relatively fixed orientation. This nearly 10K difference was also true for the CoSMIR
466 data.

467 The statistical analysis of GMI data supports the ΔT_b results obtained from the
468 preceding cases. To show the sensitivity of ΔT_b to latitude, clouds and the underlying
469 surface, atmospheric columns (or pixels) are classified into four thicknesses: deep-

470 convective, thick, thin and extremely thin clouds (including diamond dust and clear-sky
471 cases) when the maximum CloudSat radar reflectivity above 3 km is larger than 20 dBZ,
472 between 5 and 20 dBZ, between -20 and 5 dBZ and less than -20 dBZ, respectively. The
473 thicknesses are further distinguished by their underlying surface of sea and land. Finally,
474 the thicknesses are divided into three geographical zones or latitudes lower than 25°,
475 between 25 and 50° and higher than 50°.

476 The data of GMI and CloudSat are analyzed for the probability distribution function
477 (PDF) of ΔT_b at 166 GHz in the Tropics first. Figure 16 displays the PDFs of tropical ΔT_b
478 over four kinds of clouds. The PDFs over the thin (both continental and oceanic) clouds
479 are close to those over the extremely thin clouds, indicating that the 166 GHz channel
480 cannot sense the contribution of the thin clouds to ΔT_b . In other words, ΔT_b over the thin
481 clouds comes mainly from the underlying surface (Adams et al. 2008) and thus is treated
482 as the background here for brevity.

483 Figure 16 also shows that the ΔT_b over the thick clouds is significantly larger than that
484 over the thin clouds (or background distribution), exhibiting a positive contribution of
485 thick clouds to ΔT_b . Specifically, the thick clouds bring about an additional ΔT_b increase
486 of $\sim 6\text{K}$ in the Tropics. Such positive contribution of thick clouds to ΔT_b indicates that
487 thick clouds prefer a horizontally aligned orientation of ice crystals near cloud top, which
488 is consistent with the distribution of $\eta_z < 1$ near cloud top (Zeng 2008).

489 Deep convective clouds, as shown in Fig. 16, contribute positively to ΔT_b too, but less
490 than the thick clouds, which supports the case analyses in Fig. 13. Interestingly, the PDF
491 of ΔT_b over oceanic convective clouds exhibits two peaks at 1.5 and 5.5K, which can be

492 attributed to two physical processes: the upward transport of randomly oriented (via
493 turbulence) ice crystals to cloud top and the REM near cloud top, respectively.

494 To show the meridional variation of ΔT_b , Fig. 17 displays the PDFs of ΔT_b over the
495 thick and the thin clouds (or background) in the low, middle and high latitudes. The
496 figure clearly shows that thick clouds contribute positively to the 166 ΔT_b in all of the
497 latitudes. Even though the difference in PDF looks smaller in the middle and high
498 latitudes than that in the low ones, the horizontally oriented ice crystals in thick clouds
499 may contribute the same to ΔT_b in the middle and high latitudes as those in the low ones,
500 because the background ΔT_b increases with latitude (Fig. 17) and thus a large amount of
501 spherical (or randomly oriented) hydrometeors in the lower part of thick clouds
502 depolarize the upwelling background (polarized) microwaves in the middle and high
503 latitudes (Adams et al. 2008). In short, the positive contribution of thick clouds to ΔT_b
504 exists in all latitudes and has no obvious meridional tendency, which indicates that thick
505 clouds prefer a horizontally aligned orientation of ice crystals near cloud top and is
506 consistent with the distribution of $\eta_z < 1$ near cloud top (Zeng 2008).

507 *e. CALIPSO data*

508 Field campaign and other satellite observations complement the preceding evaluation
509 of ice crystal properties modeling. The observations of ground-based CAPABL (the
510 Cloud Aerosol Polarization and Backscatter Lidar) reveal a large frequency of
511 horizontally-oriented ice crystals in arctic thin clouds (Neely et al. 2013, Shupe et al.
512 2013). Furthermore, the lidar and radar data of the CloudSat and Cloud-Aerosol Lidar
513 and Infrared Pathfinder (CALIPSO) satellites show that diamond dust is common in the
514 Arctic, especially in later winter and early spring (Liu et al. 2012). These observations are

515 consistent with the geographical and seasonal distributions of $\eta_z < 1$ in the high latitudes
516 (or Fig. 10).

517 The lidar polarization data of CALIPSO reveal the global distribution of horizontally
518 oriented ice crystals in optically-thin clouds, showing that many horizontally oriented ice
519 crystals exist in warm ice clouds ($>-35^{\circ}\text{C}$) but few in cold ice clouds ($<-35^{\circ}\text{C}$; e.g., Noel
520 and Chepfer 2010, Zhou et al. 2012). Such sensitivity of oriented crystals to temperature
521 can be explained by the vertical distribution of η_z in Fig. 11. In the Tropics and mid-
522 latitudes, $\eta_z < 1$ at altitude below 10 km (or temperature above $\sim -35^{\circ}\text{C}$) and $\eta_z > 1$ at
523 altitude above 10 km (or temperature below $\sim -35^{\circ}\text{C}$), indicating that REM can bring
524 about many horizontally oriented ice crystals in warm ice clouds but few in cold ice
525 clouds. In addition, the fraction of ice clouds containing oriented crystals increases with
526 increasing latitude (Noel and Chepfer 2012), which can be attributed to the decrease in η_z
527 with latitude with the aid of REM.

528 *f. CloudSat data*

529 The CloudSat observations of tropical clouds are consistent with the vertical
530 distribution of η_z . Figure 18 displays the vertical profiles of thin and thick cloud fractions
531 over land and sea in the Tropics (or latitudes lower than 25°). The fractions of thin and
532 thick clouds are obtained by counting the pixels with radar reflectivity between -20 and 5
533 dBZ and between 5 and 20 dBZ first and then normalized by their total pixel numbers
534 above 2 km, respectively, where 2 km is chosen to avoid surface contaminated data (e.g.,
535 Marchand et al. 2008).

536 In Fig. 18, the vertical distribution of thick clouds (with radar reflectivity between 5
537 and 20 dBZ) exhibits the trimodal characteristics of tropical convection (Johnson et al.

538 1999). Since the fraction of thick clouds can be used to measure the detrainment of
539 convection approximately, the strong detrainments at ~ 7.5 km and below 2 km
540 correspond to the modes of deep and shallow convection, respectively (e.g., Riehl and
541 Malkus 1958), whereas the strong detrainment at ~ 4.5 km corresponds to the third mode
542 of cumulus congestus (Johnson et al. 1999).

543 The vertical distribution of thick clouds cannot explain the distribution of thin clouds
544 (with radar reflectivity between -20 and 5 dBZ) especially the maximum thin cloud
545 fraction near 11.5 km, although thick clouds work as a water source of thin clouds.
546 Instead, the REM-induced precipitation can explain the vertical distribution of thin
547 clouds. Since $\eta_z < 1$ below ~ 10 km (see Fig. 11), the precipitation works as a water sink
548 of thin clouds there, which explains the small fraction of thin clouds below ~ 10 km. In
549 contrast, $\eta_z > 1$ above 10 km and thus there is no water sink like the REM-induced
550 precipitation there, which explains the largest thin cloud fraction near 11.5 km even
551 though the detrainment rate there is not large.

552 The thin cloud fraction decreases with decreasing height from ~ 10 to 5 km, which is
553 attributed to the variation in REM-induced precipitation rate. For a given $\eta_z (<1)$, the
554 REM-induced precipitation forms faster at lower height (or higher temperature) because
555 the timescale of REM decreases greatly with increasing temperature or decreasing height
556 (Zeng 2008).

557 The thin cloud fraction reaches its minimum at ~ 5 km because the air temperature is
558 0°C there. REM induces precipitation effectively only for non-spherical ice crystals and
559 no ice crystals exist in thin clouds below 5 km. Besides, REM cannot bring about
560 raindrops at the expense of small droplets (Roach 1976; also see Fig. 7 for a simulation of

561 spherical crystals). Hence, the lack of efficient precipitation formation below 5 km
562 explains the minimum of thin cloud fraction at \sim 5 km.

563 In addition to the tropical observations, the satellite observations of arctic clouds are
564 consistent with the distribution of η_z , too. The lidar and radar data of the CloudSat and
565 CALIPSO satellites reveal a relatively high frequency of diamond dust in later winter and
566 early spring (Liu et al. 2012), which corresponds to the smallest magnitude of η_z in the
567 Arctic in winter (see Fig. 10). They also reveal a PDF peak of cloud top height between 7
568 and 9 km, which corresponds well to the maximum of η_z near 8.5 km (or 300 hPa) in Fig.
569 10. In summary, the distribution of η_z agrees well with the GMI observations of ice
570 crystal orientation and the CloudSat/CALIPSO observations, indicating that REM plays
571 an important role in the water cycle of the atmosphere.

572 **5. Summary**

573 Although REM plays an important role in the water cycle of the atmosphere, it has not
574 been incorporated into the current cloud and climate models. In this paper, its effects are
575 modeled against the data from satellites (GPM and CloudSat) and field campaigns
576 (MC3E and OLYMPEX), which are summarized below.

577 • A bin microphysical model with REM is developed to simulate the evolution of
578 ice crystal properties (i.e., size, shape and orientation). Its results show that
579 horizontally-oriented ice crystals grow faster than vertically-oriented (or
580 spherical) ones when $\eta_z < 1$. If ice crystals with different shapes and orientations
581 coexist in an air parcel with $\eta_z < 1$, horizontally-oriented ones grow while
582 vertically-oriented (or spherical) ones shrink and disappear eventually, bringing
583 about a selection of horizontally-oriented ice crystals.

584 • The three-year data of GMI microwave polarization at 166 GHz reveal that
585 horizontally oriented ice crystals are common in optically thick clouds in all
586 latitudes especially near cloud top, supporting the model prediction of ice crystal
587 shape because $\eta_z < 1$ near thick-cloud top.

588 • The CloudSat data show that the fraction of thin clouds in the middle troposphere
589 is much smaller than that in the upper troposphere in the Tropics. Such vertical
590 distribution of thin clouds is consistent with the model prediction, because the
591 REM-induced precipitation (or $\eta_z < 1$ below 10 km) exists in tropical thin clouds
592 in the middle troposphere but not in the upper troposphere (or $\eta_z > 1$ above 10
593 km). In addition, the different signs of $\eta_z - 1$ below and above 10 km (or -35°C)
594 explain the CALIPSO observations of many and few horizontally oriented ice
595 crystals in warmer and colder thin ice clouds, respectively (e.g., Noel and Chepfer
596 2010, Zhou et al. 2012).

597 • In the arctic regions, $\eta_z < 1$ from the troposphere to stratosphere. The bin model
598 simulations show that REM can bring about diamond dust (or clear-sky
599 precipitation) even in a dry environment. The simulation results explain the high
600 frequency of diamond dust and the prevalence of horizontally-oriented ice crystals
601 in the arctic regions (e.g., Liu et al. 2012, Bennartz et al. 2013, Neely et al. 2013,
602 Shupe et al. 2013). Such consistency in diamond dust between model and
603 observations extends the GMI results into the arctic regions.

604 • The bin model simulations show that REM, instead of vertical velocity, broadens
605 the ice crystal spectrum in cirrus clouds, which suggests that REM can be used to

606 overcome the modeling problem of too narrow crystal spectrum that exists in the
607 current cirrus models (Starr and Quante 2002).

608 REM will have two practical applications: (1) incorporated into the retrieval algorithm of
609 GMI high-frequencies data for better precipitation products and (2) into multi-
610 dimensional cloud/climate models to better replicate clouds and precipitation in the water
611 cycle of the atmosphere.

612

613 *Acknowledgments:* The authors are grateful to Dr. R. Kakar at NASA headquarters for his
614 support of this research. They would like to thank Dr. Joe Turk for providing the
615 coincident data of GMI and CloudSat. They thank Drs. Gerry Heymsfield and James
616 Wang as well as HIWRAP and CoSMIR Engineer groups for data processing and
617 engineer supports. Special thanks are extended to Drs. Yangang Liu and James L. Cogen
618 for their kind suggestions.

619 This research was supported by the NASA Precipitation Measurement Mission
620 (PMM) project under grant NNX16AE24G. It was also supported by the NASA
621 CloudSat/CALIPSO project under grant NNX16AM06G. The NASA Center for Climate
622 Simulation (NCCS) and NASA Advanced Supercomputing (NAS) Division provide the
623 computer time used in this research.

624 **Appendix List of Symbols**

625 a/b : semi-major/minor axis length

626 C : stationary diffusion shape factor

627 C_p : specific heat of dry air

628 D : diffusivity of water vapor

629 e : partial pressure of water vapor

630 E_i : saturation vapor pressure over ice

631 f_m/f_Q : ventilation factor for mass transfer/thermal diffusion

632 F_s : solar flux absorbed by an ice crystal

633 F^+/F^- : upward/downward infrared flux

634 F_α/F_β : factor for the kinetic effect in heat/water vapor diffusion

635 g : acceleration due to gravity

636 $H_i = e/E_i$: relative humidity with respect to ice

637 H_{ic} : critical relative humidity, see (9)

638 K : coefficient of thermal conductivity of dry air

639 L_s : latent heat of sublimation

640 m : mass of an ice crystal

641 $M(\ln a)$: mass of ice crystals with semi-major axis length shorter than a

642 $N(a)$: number of ice crystals with semi-major axis length shorter than a

643 R_d/R_v : gas constant for dry air/water vapor

644 p : air pressure

645 r : radius of a sphere

646 S : surface area of an ice crystal

647 t : time

648 T : (air) temperature

649 T_b : brightness temperature

650 w : vertical velocity

651 α_0 : bulk absorption efficiency of an ice crystal for blackbody radiation

652 ε_r : relative bulk absorption efficiency of an ice crystal for infrared radiation

653 σ : the Stefan-Boltzmann constant

654 η : microscope radiative ratio of individual ice crystals, see (11)-(13)

655 η_z : macroscope radiative ratio of an air parcel, see (10)

656 ρ_a/ρ_v : density of air/water vapor

657 Δt : time step for numerical integration

658 ΔT_b : difference in brightness temperature between vertically- and horizontally-polarized

659 microwaves

660

References

661 Adams, I. S., P. Gaiser, and W. L. Jones (2008), Simulation of the Stokes vector in
662 inhomogeneous precipitation. *Radio Sci.*, **43**, RS5006,
663 [doi:10.1029/2007RS003744](https://doi.org/10.1029/2007RS003744).

664 Auer, A. H., and D. L. Veal, 1970: The dimension of ice crystals in natural clouds. *J. Atmos. Sci.*, **27**, 919-926.

666 Bennartz, R., M. D. Shupe, D. D. Turner, V. P. Walden, K. Steffen, C. J. Cox, M. S.
667 Kulie, N. B. Miller, and C. Pettersen, 2013: July 2012 Greenland melt extent
668 enhanced by low-level liquid clouds. *Nature*, **496**, 83-86,
669 [doi:10.1038/nature12002](https://doi.org/10.1038/nature12002).

670 Chou, M.-D., W. Ridgway, and M.-H. Yan, 1995: Parameterizations for water vapor IR
671 radiative transfer in both the middle and lower atmosphere. *J. Atmos. Sci.*, **52**,
672 1159-1167.

673 Draper, D. W., D. A. Newell, F. J. Wentz, S. Krimchansky and G. Skofronick-Jackson,
674 2015: The Global Precipitation Measurement (GPM) Microwave Imager (GMI):
675 Instrument Overview and Early On-orbit Performance. *IEEE Journal of Selected
676 Topics in Applied Earth Observations and Remote Sensing*, **8**, 3452-3462,
677 [doi:10.1109/JSTARS.2015.2403303](https://doi.org/10.1109/JSTARS.2015.2403303).

678 Emory, A., D. Short, L. Tian, W. Petersen and G. Heymsfield, 2013: Observations of
679 Deep Convection during MC3E using Ground-Based Radars and Airborne
680 Radiometers and Implementation in GPM DPR Off-Nadir Simulations. *AMS 36th
681 Conference on Radar Meteorology*, Breckenridge, Colorado.

682 Fu, Q., and K.-N. Liou, 1992: On the correlated k-distribution method for radiative
683 transfer in nonhomogeneous atmospheres. *J. Atmos. Sci.*, **49**, 2153-2170.

684 Fuchs, N. A., 1959: *Evaporation and droplet growth in gaseous media*. Pergamon Press,
685 72 pp.

686 Gong, J. and D. L. Wu, 2017: Microphysical properties of frozen particles inferred from
687 Global Precipitation Measurement (GPM) Microwave Imager (GMI) polarimetric
688 measurements. *Atmos. Chem. Phys.*, **17**, 1–17, 2017, doi:10.5194/acp-17-1-2017.

689 Gong, J., X. Zeng, D. L. Wu and X. Li, 2017: Diurnal variation of tropical ice cloud
690 microphysics: Evidence from Global Precipitation Measurement Microwave
691 Imager (GPM-GMI) polarimetric measurements. *J. Geophys. Lett.* (submitted).

692 Hall, W. D., and H. R. Pruppacher, 1976: The survival of ice particles falling from cirrus
693 clouds in subsaturated air. *J. Atmos. Sci.*, **33**, 1995-2006.

694 Harrison, E. F., P. Minnis, B. R. Barkstrom, and G. G. Gibson, 1993: Radiation budget at
695 the top of the atmosphere. *Atlas of Satellite Observations Related to Global
696 Change*, R. J. Gurney, J. L. Foster, and C. L. Parkinson, Eds., Cambridge
697 University Press, 19-38.

698 Hartmann, D. L., H. H. Hendon, and R. A. Houze, Jr., 1984: Some implications of the
699 mesoscale circulations in tropical cloud clusters for large-scale dynamics and
700 climate. *J. Atmos. Sci.*, **41**, 113-121.

701 Heymsfield, A., 1972: Ice crystal terminal velocities. *J. Atmos. Sci.*, **29**, 1348-1357.

702 Heymsfield, A. J., and J. Iaquinta, 2000: Cirrus crystal terminal velocities. *J. Atmos. Sci.*,
703 **57**, 916-938.

704 Heymsfield, G. M., and R. Fulton, 1994: Passive microwave and infrared structure of
705 mesoscale convective systems. *Meteor. Atmos. Phys.*, **54**, 123-139.

706 Heymsfield, G. M., L. Tian, L. Li, M. McLinden, and J. I. Cervantes, 2013: Airborne
707 Radar Observations of Severe Hailstorms: Implications for Future Spaceborne
708 Radar. *J. Appl. Meteor. Climatol.*, **52**, 1851–1867.

709 Hou, A. Y., R. K. Kakar, S. Neeck, A. A. Azarbarzin, C. D. Kummerow, M. Kojima, R.
710 Oki, K. Nakamura, and T. Iguchi, 2014: The Global Precipitation Measurement
711 Mission. *Bull. Amer. Meteor. Soc.*, **95**, 701–722.

712 Houze Jr, R. A. and Coauthors, 2017: The Olympic Mountains Experiment
713 (OLYMPEX)." *Bull. Amer. Meteor. Soc.*, doi: <https://doi.org/10.1175/BAMS-D-16-0182.1>

715 Intrieri, J. M., M. D. Shupe, T. Uttal, and B. J. McCarty, 2002: An annual cycle of Arctic
716 cloud characteristics observed by radar and lidar at SHEBA. *J. Geophys. Res.*,
717 107, 8030, doi:10.1029/2000JC000423.

718 Jensen, M. P. and Coauthors, 2016: The Midlatitude Continental Convective Clouds
719 Experiment (MC3E). *Bull. Amer. Meteor. Soc.*, doi:
720 <http://dx.doi.org/10.1175/BAMS-D-14-00228.1>.

721 Jiang, J. H. and Coauthors, 2012: Evaluation of cloud and water vapor simulations in
722 CMIP5 climate models using NASA “A-Train” satellite observations, *J. Geophys.
723 Res.*, **117**(D14), D14105, doi: 10.1029/2011JD017237.

724 Johnson, R. H., T. M. Rickenbach, S. A. Rutledge, P. E. Ciesielski, and W. H. Schubert,
725 1999: Trimodal characteristics of tropical convection, *J. Climate*, **12**, 2397-2418.

726 Klein, S. A. and Coauthors, 2009: Intercomparison of model simulations of mixed-phase
727 clouds observed during the ARM Mixed-Phase Arctic Cloud Experiment. I:
728 Single-layer cloud. *Quart. J. Roy. Meteor. Soc.*, **135**, 979-1002.

729 Koeppen, C. E. and G. C. De Long, 1958: Weather and Climate. McGraw-Hill Book
730 Company, Inc., 341pp.

731 Kummerow, C., 1993: On the accuracy of the Eddington approximation for radiative
732 transfer in the microwave frequencies. *J. Geophys. Res.*, **98**, 2757-2765.

733 Liu, G., 2004: Approximation of single scattering properties of ice and snow particles for
734 high microwave frequencies. *J. Atmos. Sci.*, **61**, 2441-2456.

735 Liu, Y., W. P. Arnott and J. Hallett, 1998: Anomalous diffraction theory for arbitrarily
736 oriented finite circular cylinders and Comparisons with exact T-matrix results.
737 *Appl. Opt.*, **37**, 5019-5030.

738 Liu, Y., J. R. Key, S. A. Ackerman, G. G. Mace, and Q. Zhang, 2012: Arctic cloud
739 macrophysical characteristics from CloudSat and CALIPSO. *Remote Sens. Env.*,
740 **124**, 159-173.

741 Liou, K.-N., 1980: An Introduction to Atmospheric Radiation. Academic Press, 392pp.

742 Magono, C., and C. W. Lee, 1966: Meteorological Classification of Natural Snow
743 Crystals. *J. Fac. Sci., Hokkaido Univ.*, **7**, 321-335.

744 Marchand, R., G. G. Mace, T. Ackerman and G. Stephens, 2008: Hydrometeor detection
745 using CloudSat—An Earth-orbiting 94-GHz cloud radar. *J. Atmos. Oceanic
746 Technol.*, **25**, 519–533.

747 Murray, B. J., C. G. Salzmann, A. J. Heymsfield, S. Dobbie, R. R. Neely III, and C. J.
748 Cox, 2015: Trigonal Ice Crystals in Earth's Atmosphere. *Bull. Amer. Meteor.*
749 *Soc.*, **96**, 1519–1531.

750 Neely III, R. R., M. Hayman, R. Stillwell, J. P. Thayer, R. M. Hardesty, M. O'Neill, M.
751 D. Shupe, and C. Alvarez, 2013: Polarization lidar at Summit, Greenland, for the
752 detection of cloud phase and particle orientation. *J. Atmos. Oceanic Tech.*, **30**,
753 1635–1655.

754 Noel, V. and H. Chepfer, 2010: A global view of horizontally oriented crystals in ice
755 clouds from Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observation
756 (CALIPSO). *J. Geophys. Res.*, **115**, D00H23, doi:10.1029/2009JD012365.

757 Olson, W. S., P. Bauer, C. D. Kummerow, Y. Hong, W.-K. Tao, 2001a: A melting-layer
758 model for passive/active microwave remote sensing applications. Part II:
759 simulation of TRMM observations. *J. Appl. Meteor.*, **40**, 1164-1179.

760 Olson, W. S., Y. Hong, C. D. Kummerow, and J. Turk, 2001b: A texture-polarization
761 method for estimating convective-stratiform precipitation area coverage from
762 passive microwave radiometer data. *J. Appl. Meteor.*, **40**, 1577-1591.

763 Pruppacher, H. R. and J. D. Klett, 1997: *Microphysics of clouds and precipitation*.
764 Kluwer, 954 pp.

765 Riehl, H. and J. S. Malkus, 1958: On the heat balance in the equatorial trough zone.
766 *Geophysica*, **6**, 503–538.

767 Roach, W. T., 1976: On the effect of radiative exchange on the growth by the
768 condensation of a cloud or fog droplet. *Quart. J. Roy. Meteor. Soc.*, **102**, 361-372.

769 Roberti, L., and C. Kummerow, 1999: Monte Carlo calculations of polarized microwave
770 radiation emerging from cloud structures. *J. Geophys. Res.*, **104**(D2), 2093–2104.

771 Rogers R. R. and M. K. Yau, 1989: *A short course in cloud physics*. 3rd Edition,
772 Butterworth-Heinemann, Oxford. 290pp.

773 Sassen, K., 1974: Depolarization of laser light backscattered by artificial ice clouds. *J.*
774 *Appl. Meteor.*, **13**, 923–933.

775 Shupe, M. D., D. D. Turner, V. P. Walden, R. Bennartz, M. Cadeddu, B. Castellani, C.
776 Cox, D. Hudak, M. Kulie, N. Miller, R. R. Neely III, W. Neff, and P. Rowe, 2013:
777 High and Dry: New observations of tropospheric and cloud properties above the
778 Greenland Ice Sheet. *Bull. Amer. Meteor. Soc.*, **94**, 169–186.

779 Skofronick-Jackson, G., A. Heymsfield, E. Holthaus, C. Albers, and M.-J. Kim (2008),
780 Nonspherical and spherical characterization of ice in Hurricane Erin for wideband
781 passive microwave comparisons. *J. Geophys. Res.*, **113**, D06201,
782 doi:10.1029/2007JD008866.

783 Skofronick-Jackson, G. and B. T. Johnson, 2011: Surface and atmospheric contributions
784 to passive microwave brightness temperatures for falling snow events. *J. Geophys.*
785 *Res.*, **116**, D02213, doi:10.1029/2010JD014438.

786 Skofronick-Jackson, G. and Coauthors, 2015: Global Precipitation Measurement Cold
787 Season Precipitation Experiment (GCPEX): For Measurement's Sake, Let It
788 Snow. *Bull. Amer. Meteor. Soc.*, **96**, 1719–1741.

789 Starr, D. O., and M. Quante, 2002: Dynamical processes in cirrus clouds. *Cirrus*, Lynch,
790 D. K., K. Sassen, D. O'C. Starr and G. Stephens Ed., Oxford University Press,
791 New York. 256-264.

792 Stephens, G. L., 1983: The influence of radiative transfer on the mass and heat budgets of
793 ice crystals falling in the atmosphere. *J. Atmos. Sci.*, **40**, 1729-1739.

794 Stephens, G. L., D. G. Vane, R. J. Boain, G. G. Mace, K. Sassen, Z. Wang, A. J.
795 Illingworth, E. J. O'Connor, W. B. Rossow, S. L. Durden, S. D. Miller, R. T.
796 Austin, A. Benedetti, C. Mitrescu, and the CloudSat Science Team, 2002: The
797 CloudSat mission and the A-TRAIN: A new dimension to space-based
798 observations of clouds and precipitation. *Bull. Am. Met. Soc.*, **83**, 1771-1790.

799 Wang, J. R., P. E. Racette, J. E. Piepmeier, B. Monosmith, and W. Manning, 2007:
800 Airborne CoSMIR observations between 50 and 183 GHz over snow-covered
801 Sierra Mountains. *IEEE Trans. Geosci. Remote Sens.*, **45**, 55-61.

802 Wang, J. R., P. E. Racette, and J. R. Piepmeier, 2008: A comparison of near concurrent
803 measurements from the SSMIS and CoSMIR for some selected channels over the
804 frequency range of 50-183 GHz. *IEEE Trans. Geosci. Remote Sens.*, **46**, 923-933.

805 Webster, P. J. and G. L. Stephens, 1980: Tropical upper-tropospheric extended clouds:
806 Inferences from Winter MONEX. *J. Atmos. Sci.*, **37**, 1521-1541.

807 Wu, T., W. R. Cotton, and W. Y. Y. Cheng, 2000: Radiative effects on the diffusional
808 growth of ice particles in cirrus clouds. *J. Atmos. Sci.*, **57**, 2892-2904.

809 Yang, P., H. Wei, H.-L. Huang, B. A. Baum, Y. X. Hu, G. W. Kattawar, M. I.
810 Mishchenko, and Q. Fu, 2005: Scattering and absorption property database for
811 nonspherical ice particles in the near- through far-infrared spectral region. *App.
812 Optics*, **44**, 5512-5523.

813 Zeng, X., 2008: The influence of radiation on ice crystal spectrum in the upper
814 troposphere. *Quart. J. Roy. Meteor. Soc.*, **134**, 609-620.

815 Zeng, X., W.-K. Tao, M. Zhang, A. Y. Hou, S. Xie, S. Lang, X. Li, D. Starr, and X. Li,
816 2009: A contribution by ice nuclei to global warming. *Quart. J. Roy. Meteor.*
817 *Soc.*, **135**, 1614-1629.

818 Zeng, X., G. Skofronick-Jackson, L. Tian, A. E. Emory, W. S. Olson and R. A.
819 Kroodsma, 2016: Analysis of the GMI/CoSMIR microwave polarization data for
820 ice crystal modeling. The 2016 Precipitation Measurement Mission (PMM)
821 meeting, Houston, Texas, Oct. 24-28, 2016.

822 Zhang, M. and Coauthors, 2005: Comparing clouds and their seasonal variations in 10
823 atmospheric general circulation models with satellite measurements. *J. Geophys.*
824 *Res.*, **110**(D15), D15S02, doi: 10.1029/2004JD005021.

825 Zhou, C., P. Yang, A. E. Dessler, Y. Hu, and B. A. Baum, 2012: Study of horizontally
826 oriented ice crystals with CALIPSO Observations and Comparison with Monte
827 Carlo Radiative Transfer Simulations. *J. Appl. Meteor. Clim.*, **51**, 1426-1439.

828
829

830

831

Table 1 List of the Numerical Experiments

Experiment	Crystal Shape (orientation)	w	Notes
Ph	+ Plate (horizontal)	0	Default experiment
PhPv	+ Plate (horizontal) + Plate (vertical)	0	Selection of crystal orientation
ChCv	+ Column (horizontal) + Column (vertical)	0	
PhS	+ Plate (horizontal) + Spherical solid crystals	0	Selection of crystal shape
PhCh	+ Plate (horizontal) + Column (horizontal)	0	
PhPvW	+ Plate (horizontal) + Plate (vertical)	1 cm s ⁻¹	Effect of vertical velocity

832

833

834

835

Caption

836 **Figure 1** Schematic diagram of REM for two adjacent ice crystals. The saturation water
837 vapor pressure around an ice crystal E_i varies with crystal size, where the red and green
838 lines denote E_i with $\eta > 1$ and $\eta < 1$, respectively. When $\eta < 1$, water vapor pressure e is
839 lower (or higher) than E_i of the smaller (or larger) crystal. As a result, the smaller crystal
840 sublimates with the resulting water vapor depositing on and therefore increasing the
841 larger crystal.

842 **Figure 2** Schematic diagrams of horizontally (left) and vertically (right) oriented plate
843 crystals that receive the upward (F^+), downward (F^-) and horizontal infrared radiation
844 ($\sim \sigma T^4$). Since F^+ and F^- usually deviate from σT^4 , the horizontally- and vertically-
845 oriented crystals have different energy budgets and therefore different surface
846 temperature.

847 **Figure 3** Evolution of the mass density $dM(\ln a)/d\ln a$ versus the half crystal size a in
848 Exp. Ph for the horizontally-oriented plate crystals at $\eta_z = 0.5$, $T = -30^\circ\text{C}$ and $p = 300$ hpa.
849 The thick line denotes the initial spectrum and the time interval between lines is 30
850 minutes.

851 **Figure 4** Time series of the average crystal size (top), the ice water content (middle) and
852 the relative humidity with respect to ice (bottom) in Exp. Ph.

853 **Figure 5** Evolution of the mass density $dM(\ln a)/d\ln a$ versus the half crystal size a in
854 Exp. PhPv for the vertically- (top) and horizontally-oriented (bottom) plate crystals. The
855 thick lines denote the initial spectra and the time interval between lines is 30 minutes.

856 **Figure 6** Same as Figure 5 except for Exp. ChCv on column crystals.

857 **Figure 7** Average crystal size versus time in Exp. PhS. The thick and thin lines
858 represent the average size of horizontally-oriented plate crystals and the average radius of
859 spherical ice crystals, respectively.

860 **Figure 8** Evolution of the horizontally-oriented plate crystals in Exp. PhPvW with a
861 vertical velocity of 1 cm s^{-1} .

862 **Figure 9** Time series of the average crystal size (top) and ice water content (bottom) in
863 Exps. PhPv (solid) and PhPvW (dashed line). The thick and thin lines in the top panel
864 represent the average sizes of horizontally- and vertically-oriented plate crystals,
865 respectively.

866 **Figure 10** Vertical profiles of the radiative ratio η_z in clear sky in the high latitudes
867 during wintertime (thick) and summertime (thin line).

868 **Figure 11** The radiative ratio η_z versus height in clear sky in the Tropics (red), middle
869 latitudes (green) and the arctic regions during wintertime (black).

870 **Figure 12** The horizontal cross-section of radar reflectivities at 10 cm wavelength from
871 NPOL at 3 km altitude and 2234 UTC, 23 May 2011 during MC3E. The red line shows
872 the ER-2 flight track from 2223 to 2241 UTC 23 May 2011, during which the CoSMIR
873 data are analyzed.

874 **Figure 13** The polarization difference ΔT_b at 165.5 GHz between vertical and horizontal
875 polarization obtained from the CoSMIR conical scan at nadir (top) and the reflectivities
876 from the HIWRAP Ku-band (2.8 cm wavelength) measurements (bottom panel) along the
877 flight track shown in Fig. 12 during MC3E.

878 **Figure 14** Microwave polarization observations of the CoSMIR conical scan at 165.5
879 GHz (red) and GMI at 166 GHz (blue) over the OLYMPEX region on December 3 (left)
880 and 5 (right), 2015, where a symbol corresponds to a scan pixel. The three black lines,
881 representing the polarization difference (PD) of 0, 10 and 20K, respectively, are used for
882 scaling.

883 **Figure 15** The vertical cross-section of the CloudSat radar reflectivity (bottom) and the
884 GMI polarization difference ΔT_b (=166V-166H) along the same CloudSat track (top)
885 when both CloudSat and GPM flew over the Amazon. This dataset starts over 7.38S and
886 63.35W at 18:05:45 and end over 7.6N and 66.55W at 18:06:52 UTC 13 November 2014.

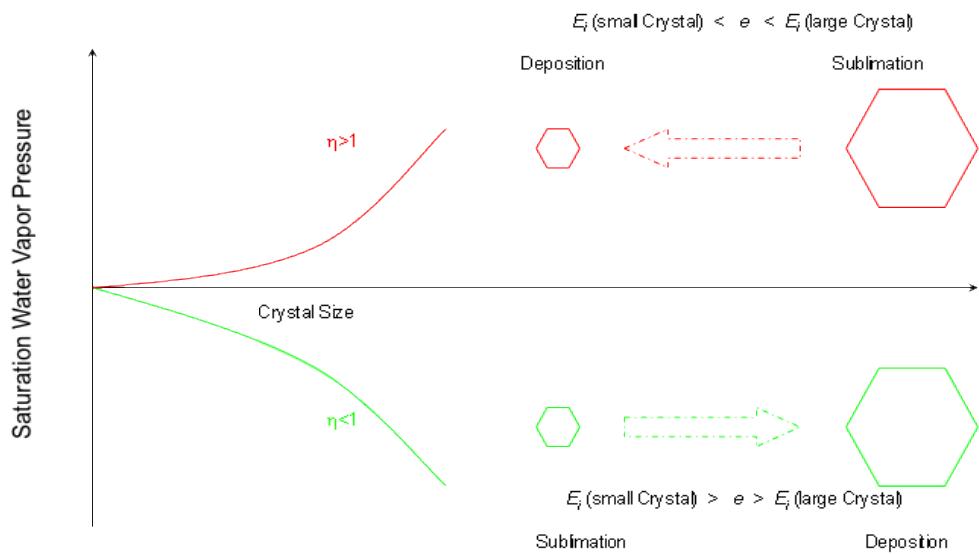
887 **Figure 16** PDF of the GMI polarization difference ΔT_b at 166 GHz over land (left) and
888 sea (right) in the Tropics. The blue, black, green and red lines represent atmospheric
889 columns (or pixels) with the maximum radar reflectivity above 20 dBZ (deep convective
890 clouds), between 5 and 20 dBZ (thick clouds), between -20 and 5 dBZ (thin clouds), and
891 below -20 dBZ (clear sky and extremely thin clouds), respectively.

892 **Figure 17** PDF of the GMI polarization difference ΔT_b at 166 GHz over land (top) and
893 sea (bottom) versus latitude. The thick lines represent columns with the maximum radar
894 reflectivity between 5 and 20 dBZ and the thin ones with the maximum radar reflectivity
895 between -20 and 5 dBZ (referred to here as background) in the low (left), middle (middle)
896 and high latitudes (right).

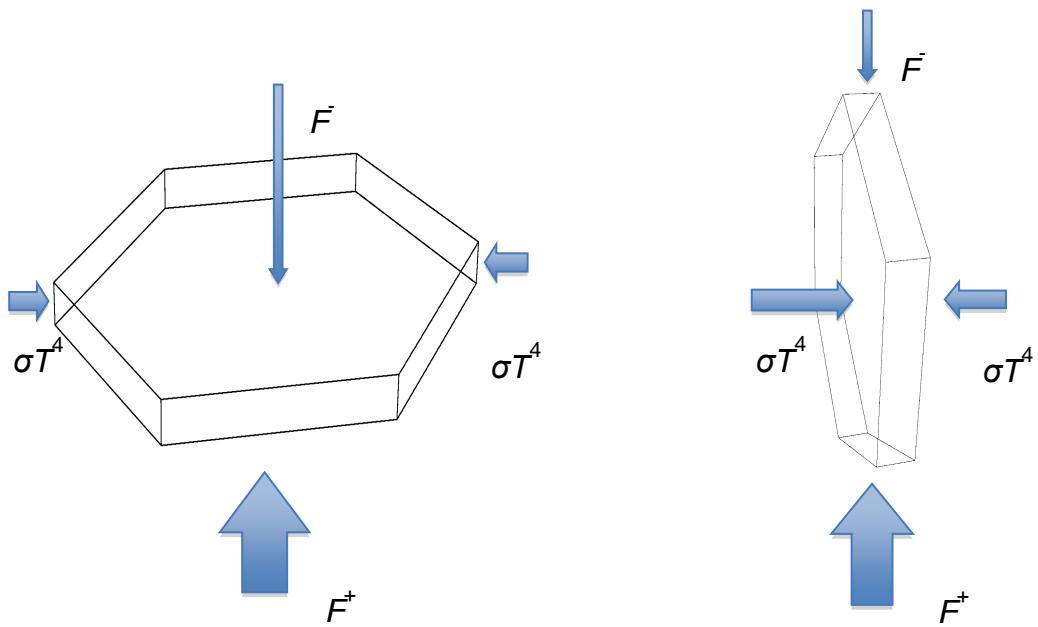
897 **Figure 18** The fractions of thick clouds (left, with radar reflectivity between 5 and 20
898 dBZ) and thin clouds (right, between -20 and 5 dBZ) observed by CloudSat over the

899 Tropics. Their values are normalized by their total cloud pixel numbers above 2 km,
900 respectively.

901



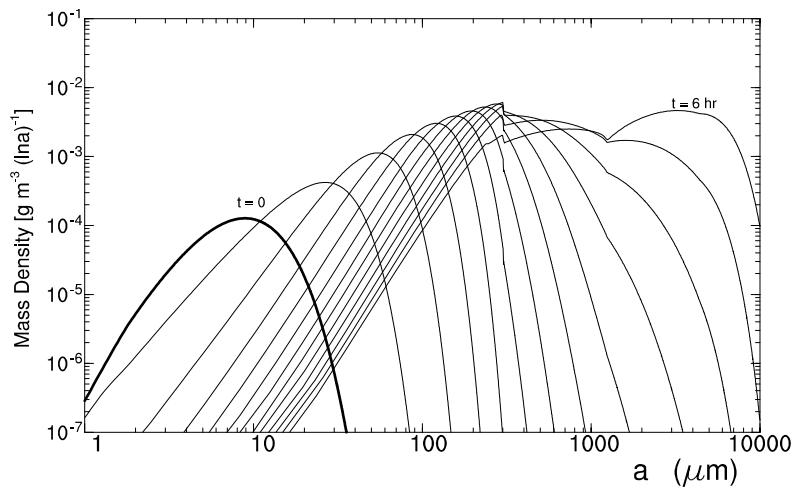
910



911

912 **Figure 2** Schematic diagrams of horizontally (left) and vertically (right) oriented plate
913 crystals that receive the upward (F^+), downward (F^-) and horizontal infrared radiation
914 ($\sim \sigma T^4$). Since F^+ and F^- usually deviate from σT^4 , the horizontally- and vertically-
915 oriented crystals have different energy budgets and therefore different surface
916 temperature.

917

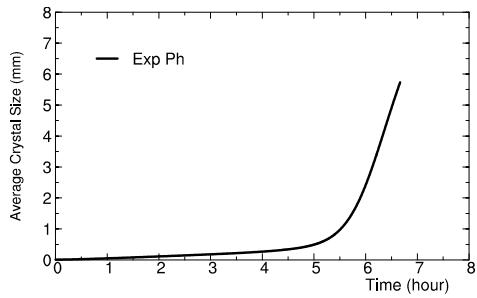


918

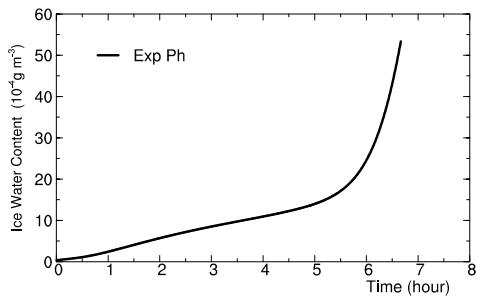
919 **Figure 3** Evolution of the mass density $dM(\ln a)/d\ln a$ versus the half crystal size a in
 920 Exp. Ph for the horizontally-oriented plate crystals at $\eta_z = 0.5$, $T = -30^\circ\text{C}$ and $p = 300$ hpa.
 921 The thick line denotes the initial spectrum and the time interval between lines is 30
 922 minutes.

923

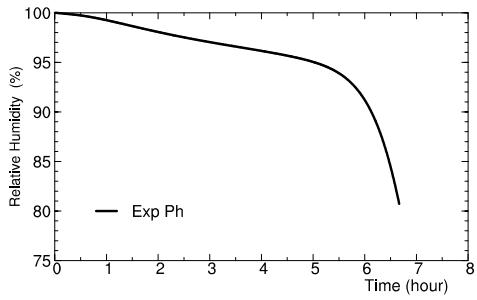
924



925



926



927 **Figure 4** Time series of the average crystal size (top), the ice water content (middle) and

928 the relative humidity with respect to ice (bottom) in Exp. Ph.

929

930

931

932

933

934

935

936

937

938

939

940

941

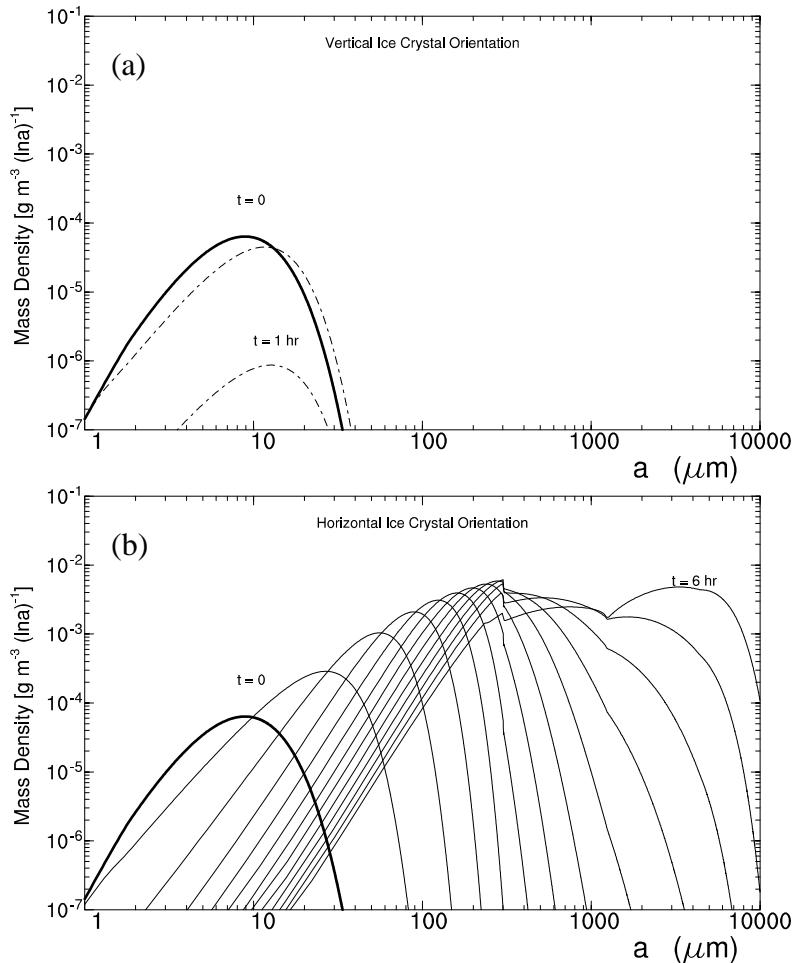
942

943 **Figure 5** Evolution of the mass density $dM(\ln a)/d\ln a$ versus the half crystal size a in

944 Exp. PhPv for the vertically- (top) and horizontally-oriented (bottom) plate crystals. The

945 thick lines denote the initial spectra and the time interval between lines is 30 minutes.

946



947

948

949

950

951

952

953

954

955

956

957

958

959

960

961

962

963

964

965

966

967

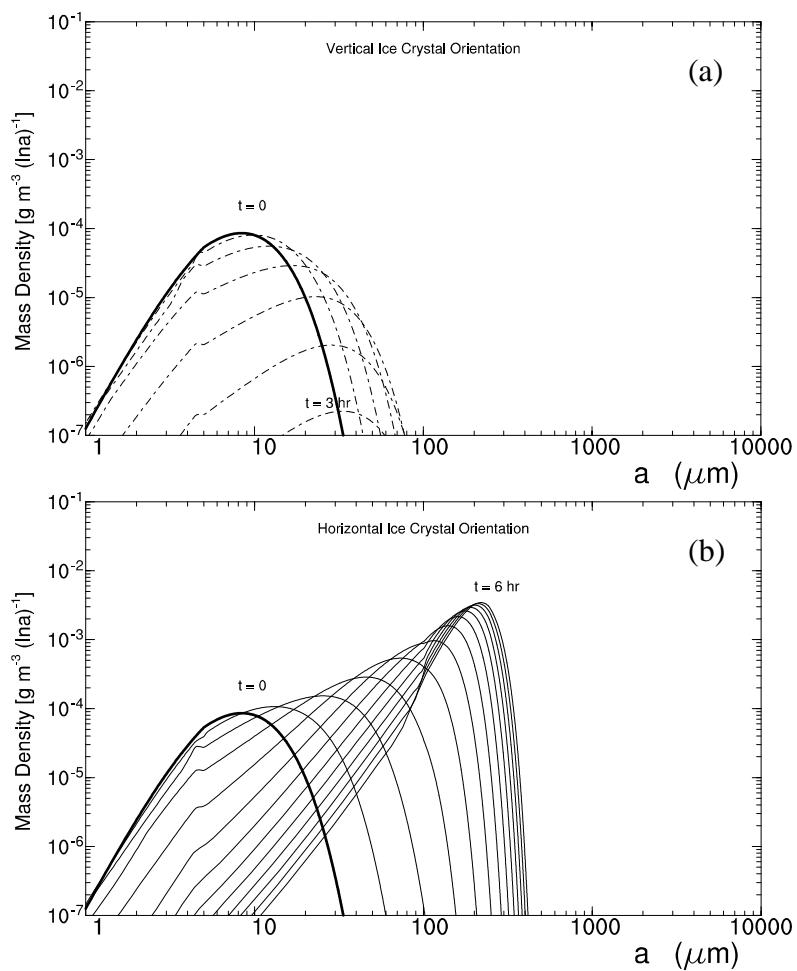
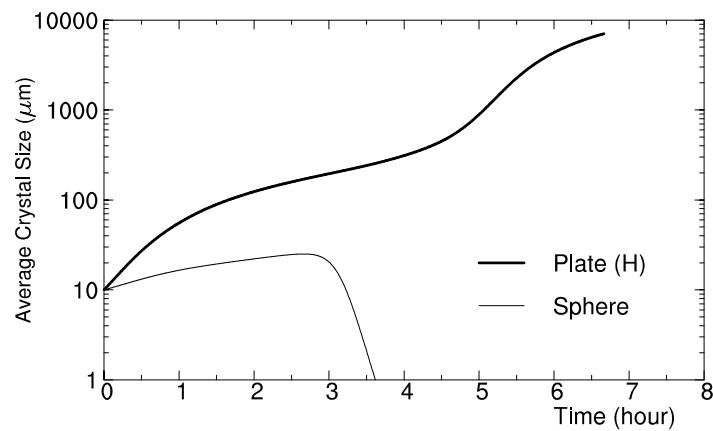


Figure 6 Same as Figure 5 except for Exp. ChCv on column crystals.

968

969

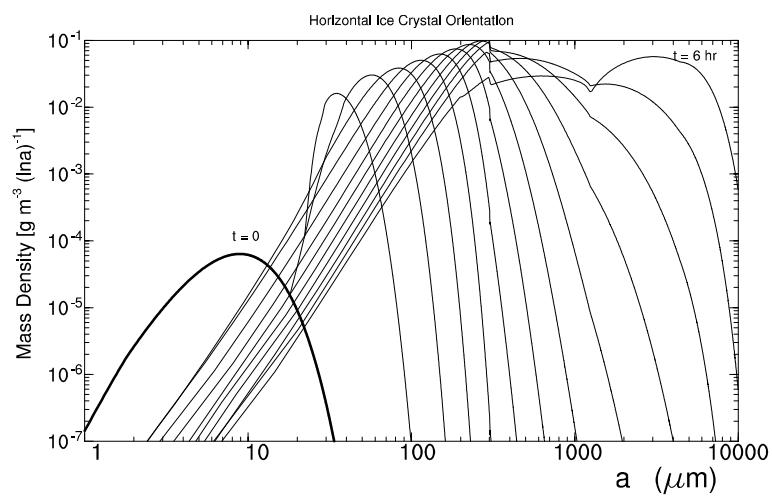


970

971 **Figure 7** Average crystal size versus time in Exp. PhS. The thick and thin lines represent
972 the average size of horizontally-oriented plate crystals and the average radius of spherical
973 ice crystals, respectively.

974

975
976
977
978



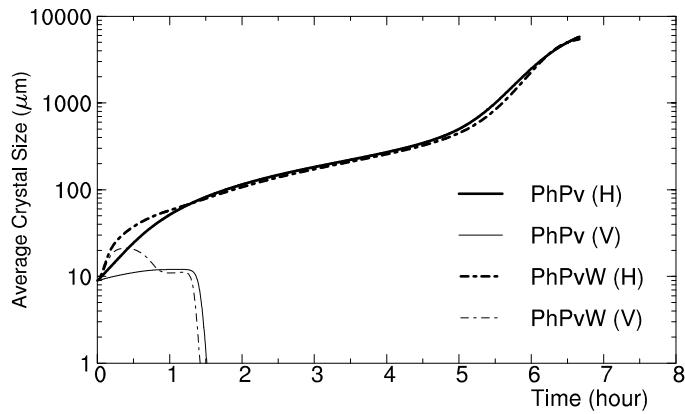
979

980 **Figure 8** Evolution of the horizontally-oriented plate crystals in Exp. PhPvW with a
981 vertical velocity of 1 cm s^{-1} .

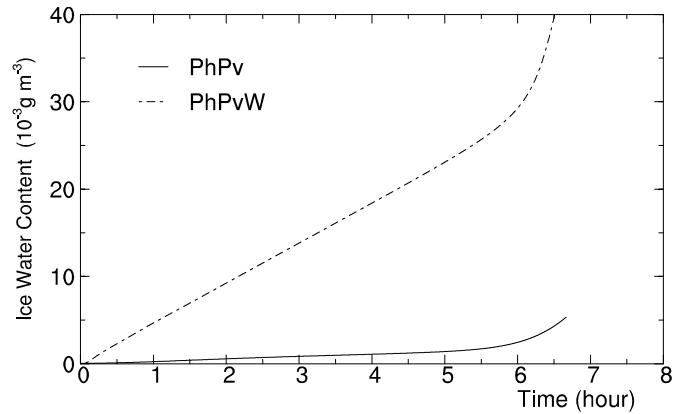
982
983

984
985
986
987

988



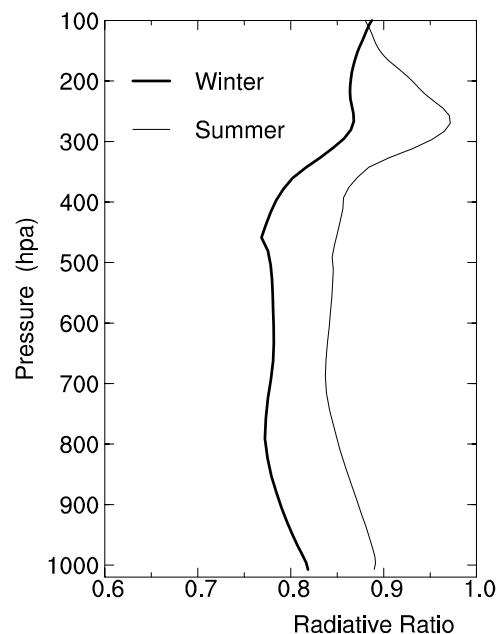
989



990 **Figure 9** Time series of the average crystal size (top) and ice water content (bottom) in
991 Exps. PhPv (solid) and PhPvW (dashed line). The thick and thin lines in the top panel
992 represent the average sizes of horizontally- and vertically-oriented plate crystals,
993 respectively.

994

995
996

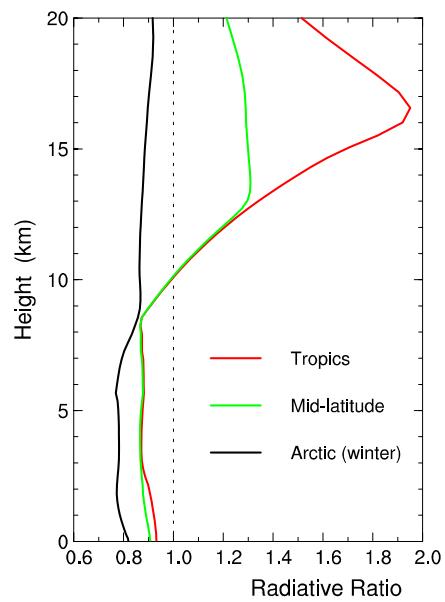


997

998 **Figure 10** Vertical profiles of the radiative ratio η_z in clear sky in the high latitudes
999 during wintertime (thick) and summertime (thin line).

1000

1001

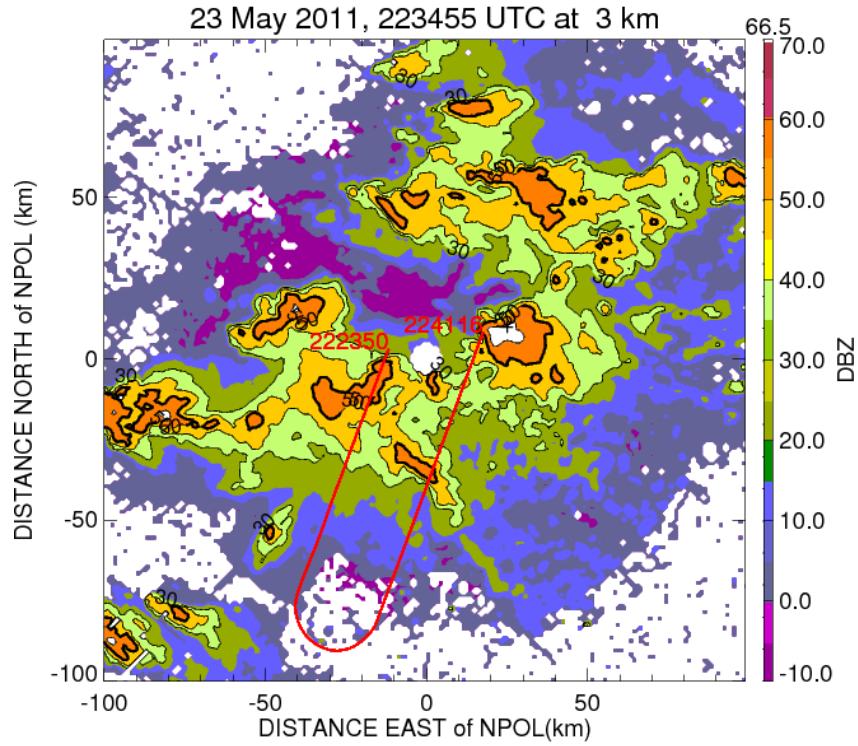


1002

1003 **Figure 11** The radiative ratio η_z versus height in clear sky in the Tropics (red), middle
1004 latitudes (green) and the arctic regions during wintertime (black).

1005

1006
1007
1008
1009

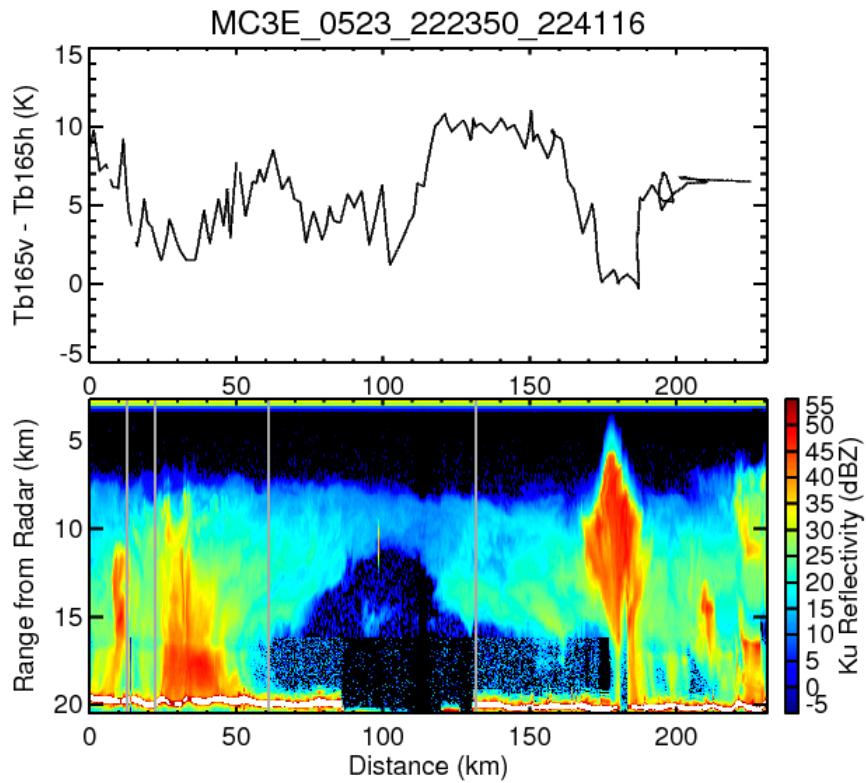


1010
1011

1012 **Figure 12** The horizontal cross-section of radar reflectivities at 10 cm wavelength from
1013 NPOL at 3 km altitude and 2234 UTC, 23 May 2011 during MC3E. The red line shows
1014 the ER-2 flight track from 2223 to 2241 UTC 23 May 2011, during which the CoSMIR
1015 data are analyzed.

1016
1017

1018



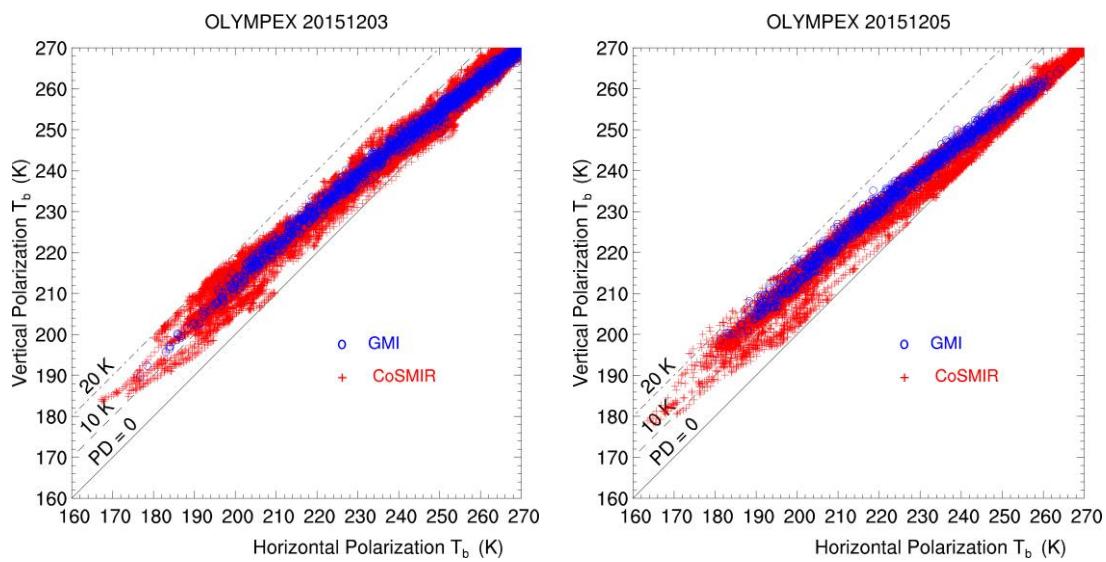
1019

1020

1021 **Figure 13** The polarization difference ΔT_b at 165.5 GHz between vertical and horizontal
1022 polarization obtained from the CoSMIR conical scan at nadir (top) and the reflectivities
1023 from the HIWRAP Ku-band (2.8 cm wavelength) measurements (bottom panel) along the
1024 flight track shown in Fig. 12 during MC3E.

1025

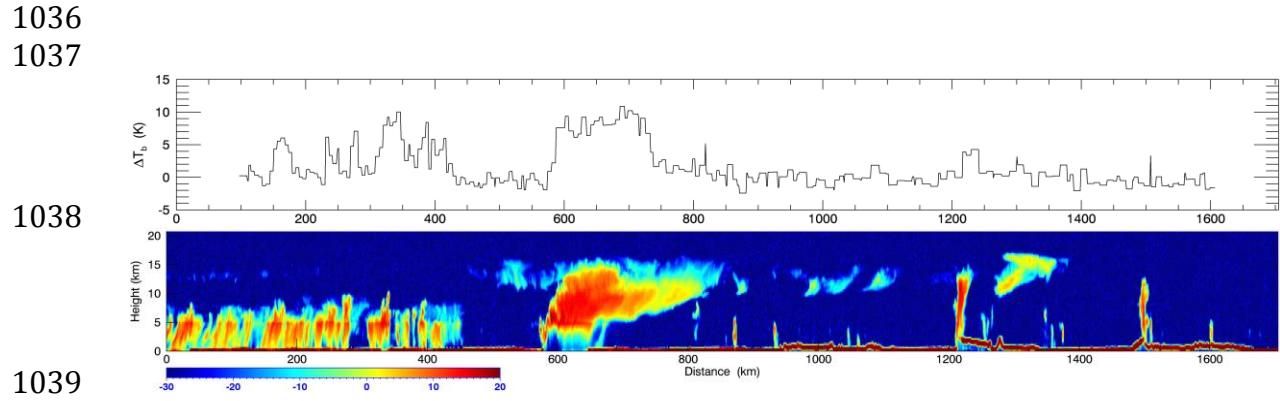
1026
1027



1028
1029

1030 **Figure 14** Microwave polarization observations of the CoSMIR conical scan at 165.5
1031 GHz (red) and GMI at 166 GHz (blue) over the OLYMPEX region on December 3 (left)
1032 and 5 (right), 2015, where a symbol corresponds to a scan pixel. The three black lines,
1033 representing the polarization difference (PD) of 0, 10 and 20K, respectively, are used for
1034 scaling.

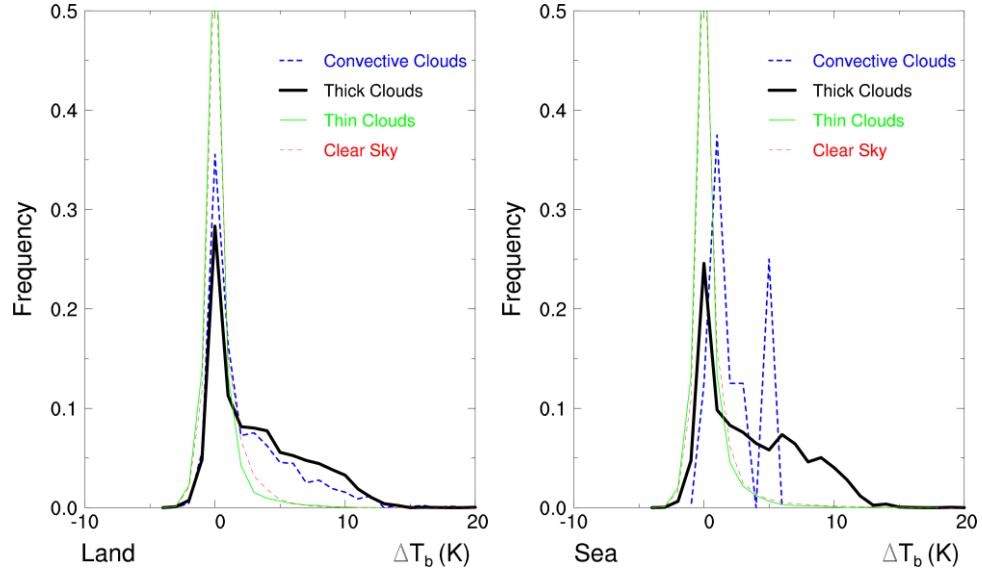
1035



1036
1037
1038
1039
1040
1041 **Figure 15** The vertical cross-section of the CloudSat radar reflectivity (bottom) and the
1042 GMI polarization difference ΔT_b ($=166V-166H$) along the same CloudSat track (top)
1043 when both CloudSat and GPM flew over the Amazon. This dataset starts over 7.38S and
1044 63.35W at 18:05:45 and end over 7.6N and 66.55W at 18:06:52 UTC 13 November 2014.

1045

1046



1047

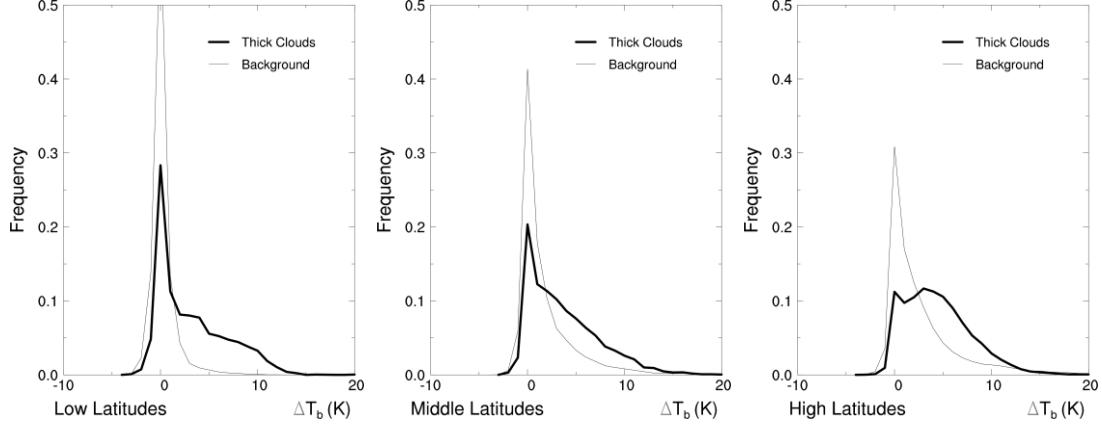
1048

1049

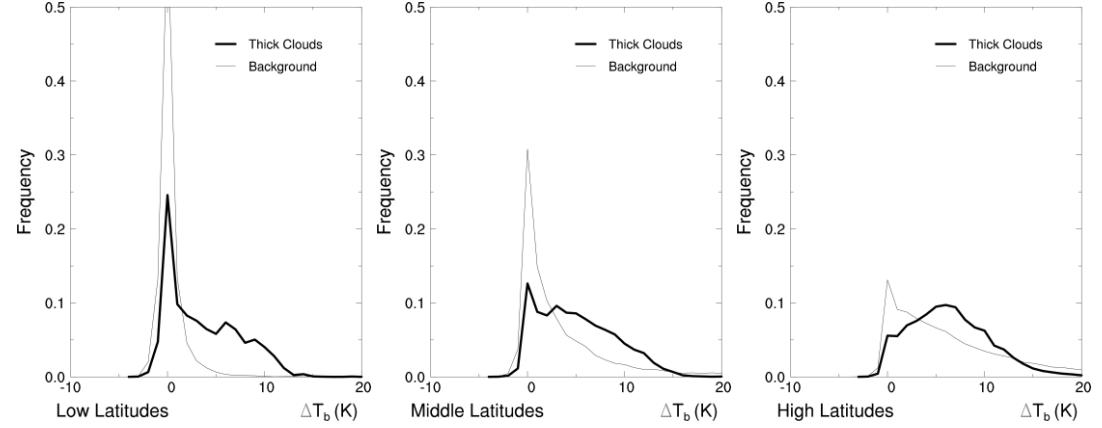
1050 **Figure 16** PDF of the GMI polarization difference ΔT_b at 166 GHz over land (left) and
 1051 sea (right) in the Tropics. The blue, black, green and red lines represent atmospheric
 1052 columns (or pixels) with the maximum radar reflectivity above 20 dBZ (deep convective
 1053 clouds), between 5 and 20 dBZ (thick clouds), between -20 and 5 dBZ (thin clouds), and
 1054 below -20 dBZ (clear sky and extremely thin clouds), respectively.

1055

1056
1057
1058
1059



1060
1061

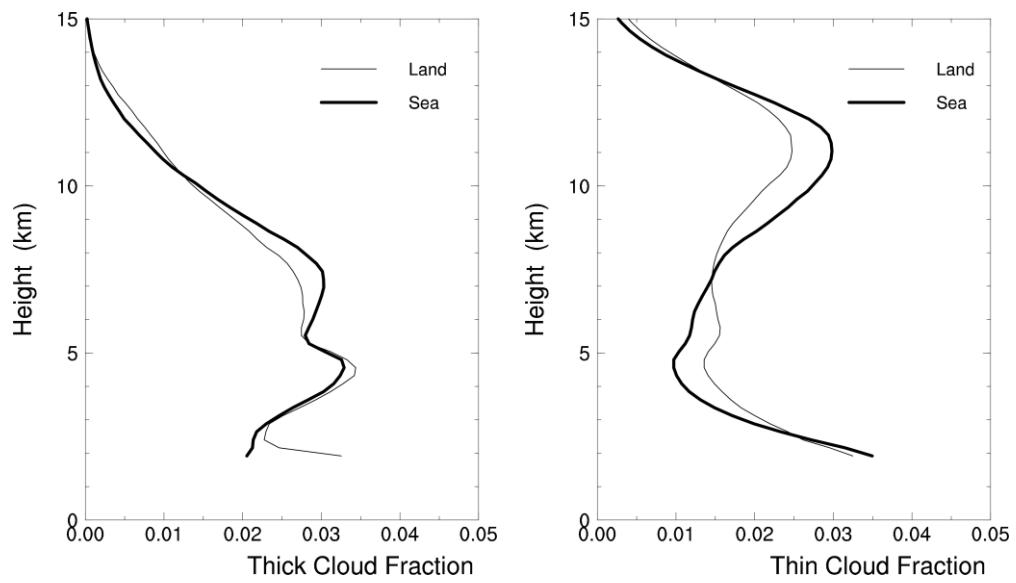


1062
1063
1064

1065 **Figure 17** PDF of the GMI polarization difference ΔT_b at 166 GHz over land (top) and
1066 sea (bottom) versus latitude. The thick lines represent columns with the maximum radar
1067 reflectivity between 5 and 20 dBZ and the thin ones with the maximum radar reflectivity
1068 between -20 and 5 dBZ (referred to here as background) in the low (left), middle (middle)
1069 and high latitudes (right).

1070

1071



1072

1073

1074

1075 **Figure 18** The fractions of thick clouds (left, with radar reflectivity between 5 and 20
1076 dBZ) and thin clouds (right, between -20 and 5 dBZ) observed by CloudSat over the
1077 Tropics. Their values are normalized by their total cloud pixel numbers above 2 km,
1078 respectively.

1079